FMCSA

Federal Motor Carrier Safety Administration

ITDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The Federal Motor Carrier Safety Administration (FMCSA) enforces safety standards for commercial motor vehicles operating in the United States. Under the ITDS program, FMCSA will receive information about commercial motor vehicles and drivers reported in manifest declarations that are electronically submitted to CBP by carriers or their agents in advance of cargo arriving at the border. FMCSA will use this advance information to identify carrier, vehicle, and driver safety compliance issues and determine whether an inspection is required. The FMCSA screening results will also be transmitted, via ACE, to carriers to provide an opportunity to correct problems before arrival at the border. Vehicle and driver inspections may be performed by either FMCSA inspectors or by state authorities.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	FMCSA CONOPS partially approved to cover phase 1 operations
ACE Release Operational MOU	Y	CBP OT/R&R – Approve MOU - TBD
Agency's Privacy Impact Assessment (PIA)	√	Approved and published September 2007
System of Record Notice (SORN)	√	Not applicable
Interconnect Security Agreement (ISA)	√	Signed January 2007, good for 3 years

Activity and Accomplishments

Accomplishments:

1. The interface between CBP and FMCSA is currently in the ACE Production system; deployed April 2008.
2. FMCSA conducted review of data elements provided by ITDS Data Harm Team. (5 March 2009)
3. Agency reviewing materials provided by ITDS for preparation of Data MOU.

Concerns, Risks, and Issues

Concerns:

1. System has not been activated.

FMCSA (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	2005	√	
M3: Submit business and system requirements for ACE to CBP.	2006	√	
M4: Agree on business and system requirements for ACE with CBP.	2006	√	
M5: Submit Concept of Operations to CBP.	Q3 2007	√	
M6: Agree on Concept of Operations with CBP.	Q3 2007	√	Partially approved for phase 1 operations only
M7: Submit completed MOU template to CBP.	Q1 2009	√	CBP OT/R&R – Review/Approve - TBD
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	FMCSA – Sign MOU when CBP approves - TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*A, N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2002	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Transportation	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissiblity	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	4	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

FSIS

Food Safety and Inspection Service

iTDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The Food Safety and Inspection Service (FSIS) regulates the import and export of meat, poultry, and egg products. FSIS determines the equivalence of foreign food safety and inspection programs as a condition of eligibility to export meat, poultry, and egg products to the United States; inspects imported meat, poultry, and egg products at U.S. ports of entry; and certifies U.S. meat, poultry, and egg products for export from the United States.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Extension approved–expires on February 13, 2010
Data Memo of Understanding (MOU)		Updated the MOU per CBP edits and resubmitted to CBP (William Washington) on June 24, 2009. FSIS included the update to Appendix A based on the ITDS portal "data universe" spreadsheets.
Concept of Operations (CONOPS)	√	Re-resubmitted to CBP 3/31/09. Presented to CBP/OT, OFO & OIOC on 4/28/09 and 5/28/09. Review meeting scheduled with CBP on 8/18/09 to address CBP's feedback.
ACE Release Operational MOU		CBP to finalize Operational MOU template - date TBD and AST to finalize ACE M2.3 requirements - date TBD
Agency's Privacy Impact Assessment (PIA)		FSIS completion date for the PIA for the PHIS is November 2009
System of Record Notice (SORN)		FSIS completion date for the SORN for the PHIS is November 2009
Interconnect Security Agreement (ISA)		FSIS assessment of need for ISA pending finalization of ACE M2.3 requirements to determine ACE interface requirements - date TBD

Activity and Accomplishments

Activities:

1. Meeting scheduled with CBP on 8/18/09 to resolves issues from the presentation of FSIS ConOps to CPB and FSIS' response to CBPs FSIS ConOps Review.

Concerns, Risks, and Issues

ISSUE:

FSIS' development of their Public Health Information System (PHIS) is being impacted by insufficient Information on ACE M2.3 PGA functions and interfaces:

- PHIS' Import Function design and development was initiated on May 25, 2009. The preliminary design review was presented to FSIS on July 2, 2009, reviewing the functionality. The critical design review (prototype delivery) is scheduled for mid-October 2009.
- During this same timeframe, FSIS will need the system interface specifications resolved so that they can build the ACE [ACS]–PHIS interface.
- FSIS needs to resolve any outstanding operational issues that CBP might have with FSIS ConOps as soon as possible to ensure on-time delivery of the import functions.
- PHIS is on schedule to depioy in production 4Q2010.

FSIS (continued)

iTDS
INTERNATIONAL TRADE DATA SYSTEM

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q1 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2008	√	Completed Business Requirement document (BRD) and Functional Requirement (FRD) document for the Public Health Information System (PHIS) which include ACE to PHIS functionality,
M3: Submit business and system requirements for ACE to CBP.	Q2 2008	√	CCR requirements workshop conducted March 2008.
M4: Agree on business and system requirements for ACE with CBP.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Submitted 3/31/2009. Presented ConOps to CBP on 4/28/09 and 5/28/09.
M6: Agree on Concept of Operations with CBP.	Q2 2008	R	FSIS provided response to CBPs FSIS ConOps Review on July 2. Review meeting scheduled with CBP on 8/18/09 to address CBP's feedback.
M7: Submit completed MOU template to CBP.	Q1 2008	√	Data MOU template submitted.
M8: Sign the appropriate MOU with CBP and other agencies.	Q3 2008	R	Updated the MOU per CBP edits and resubmitted to CBP (William Washington) on June 24, 2009. FSIS included the update to Appendix A based on the ITDS portal "data universe" spreadsheets.
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q2 2008 Q3 2008	√	The FSIS regulatory work plan was submitted to USDA for transmission to OMB on March 23, 2009.

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	A	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	A	Run ACE reports and/or data extracts:	A
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	N/A	Request CBP to place/remove holds on cargo and/or conveyances:	F
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	F
Cargo Arrival (Entry)	Entry augmented with agency data elements:	F	Automated determination of cargo admissibility:	F
	Validate agency entry data elements in ACE or agency system:	F	Support enforcement of agency license, permit, and/or certificate:	F
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	N/A	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	N/A
Targeting	Establish screening criteria:	F	View risk assessment and screening results:	F

Agency Profile

Background	Joined ITDS:	2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	46
	SAFE Port Act of 2006 Agency:	Yes	Department:	Agriculture	Number of Active ACE Portal Users:	TBD
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	1
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	24	Number of PGA Unique Requirements:	13
	Number of Business Processes:	14	Number of PGA LPCs:	8	Number of PGA Shared Requirements:	247

FTZB

Foreign-Trade Zones Board

iTDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The Foreign-Trade Zones Board (FTZB) licenses, regulates, and monitors the activity of Foreign-Trade Zones (FTZ) in the United States. The FTZB must approve a zone before CBP activates a zone. FTZB monitors zone activity and also reports to Congress annually on zone operations.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–expires on February 13, 2010
Data Memo of Understanding (MOU)		N/A since FTZB has already submitted the ACE Release MOU. FTZB does not plan to do a separate Data MOU since FTZB is close to finalizing an MOU that would cover everything.
Concept of Operations (CONOPS)	√	Approved in Jan 2007
ACE Release Operational MOU		CBP – Review FTZB's MOU (submitted April 2008) – Target Date TBD
Agency's Privacy Impact Assessment (PIA)	√	Completed
System of Record Notice (SORN)		FTZB – Draft completed. In review with senior officials at Commerce – Target Date TBD
Interconnect Security Agreement (ISA)		TBD

Activity and Accomplishments

Activities:

1. ITDS/MST delivered updated data mappings to FTZB based on the SDS review meeting with FTZB on 02/09. FTZB provided review comments on 02/17. ITDS/MST delivered an updated version of the SDS mappings to FTZB on 03/04.

Concerns, Risks, and Issues

FTZB (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q3 2008	√	
M3: Submit business and system requirements for ACE to CBP.	Q3 2008	√	
M4: Agree on business and system requirements for ACE with CBP.	Q3 2008	√	
M5: Submit Concept of Operations to CBP.	Q3 2007	√	FTZB has an approved CONOPS
M6: Agree on Concept of Operations with CBP.	Q3 2008	√	FTZB has an approved CONOPS
M7: Submit completed MOU template to CBP.	Q3 2008	√	CBP – Review FTZB MOU - Target Date TBD
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP – Review FTZB MOU - Target Date TBD. (This is the department level date. Agency level date TBD)
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	N/A		Rulemaking, capital planning or investment is not required for FTZB's participation in ITDS

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone Information:	*A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*F*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	Sept. 2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	2
	SAFE Port Act of 2006 Agency:	No	Department:	Commerce	Number of Active ACE Portal Users:	TBD
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	0	Number of PGA Unique Requirements:	2
	Number of Business Processes:	3	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	148

FWS

Fish and Wildlife Service

iTDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The U.S. Fish and Wildlife Service (FWS) determines the admissibility and controls the export of most wildlife and wildlife products. To perform these functions, FWS issues licenses and permits to import or export wildlife and wildlife products, and stations wildlife inspectors at U.S. port locations to inspect wildlife and wildlife products to ensure they are properly documented and to prevent the illegal import or export of wildlife.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Extension Approved–expires on February 13, 2010
Data Memo of Understanding (MOU)		Department of Interior (DOI) to re-submit MOU to CBP by September 1, 2009 reflecting DOI's position on Trade Secrets Act and FWS data access.
Concept of Operations (CONOPS)	√	FWS ConOps V2 submitted to CBP June 24, 2009. Presented FWS ConOps to CBP August 4, 2009.
ACE Release Operational MOU		CBP to finalize Operational MOU template - date TBD and AST to finalize ACE M2.3 requirements - date TBD
Agency's Privacy Impact Assessment (PIA)		FWS will review PIA for existing eDecs system pending finalization of ACE M2.3 requirements - date TBD
System of Record Notice (SORN)		FWS will review SORN for existing eDecs system pending finalization of ACE M2.3 requirements - date TBD
Interconnect Security Agreement (ISA)		FWS assessment of ISA pending finalization of ACE M2.3 requirements to determine ACE interface requirements - date TBD

Activity and Accomplishments

Activities:

- Presented FWS ConOps to CBP August 4, 2009. Meeting minutes have be distributed to CBP for review.

Concerns, Risks, and Issues

ISSUE:

FWS data access issue has 2 components:

1. Requirement for CBP approval to provide data to 3rd party during an FWS investigation. CBP OT/R&R has specifically said providing data to States which participate in FWS investigations isn't allowed even under new MOU data sharing language.
2. CBP's position that all data collected via ACE or ACS must be treated as trade secret and FWS would be subject to penalties for release. This would also apply to the creation of the other government data within ACS and as a model for ACE PGA data. This has become more important since all Lacey Act plant data will be collected via ACE or ACS.

FWS (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q4 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q3 2008	√	FWS will use existing eDecs system
M3: Submit business and system requirements for ACE to CBP.	Q3 2008	√	CCR requirements workshop conducted March 2008
M4: Agree on business and system requirements for ACE with CBP.	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q3 2009	√	FWS ConOps V2 submitted to CBP June 24, 2009.
M6: Agree on Concept of Operations with CBP.	Q4 2009		Presented FWS ConOps to CBP August 4, 2009.
M7: Submit completed MOU template to CBP.	Q2 2009	R	Department of Interior (DOI) to re-submit MOU to CBP by September 1, 2009 reflecting DOI's position on Trade Secrets Act and FWS data access.
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2009		Dependent on resolution of FWS data access issue between DOI & CBP/DHS
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009 Q4 2009		FWS is identifying rulemaking needs to begin internal rulemaking process in Q2 2009

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*F*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*F*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	114
	SAFE Port Act of 2006 Agency:	Yes	Department:	Interior	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	6	Number of PGA Unique Requirements:	*8*
	Number of Business Processes:	5	Number of PGA LPCs:	4	Number of PGA Shared Requirements:	*256*

GIPSA

Grain Inspection, Packers, and Stockyard Administration

Agency Mission

The Grain Inspection, Packers, and Stockyard Administration (GIPSA) mission is to facilitate the marketing of agricultural products and to promote fair trading practices for the overall benefit of consumers and American agriculture. GIPSA inspects grain exports and issues post-inspection certificates to registered exporters. The GIPSA role is to serve as an unbiased, third-party weighing and inspection entity that provides buyer and seller accurate information about the quality and quantity of grain being traded.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		Export only agency – milestone is dependent on pending revisions to the ACE Program Plan.
Data Memo of Understanding (MOU)		Export only agency – milestone is dependent on pending revisions to the ACE Program Plan.
Concept of Operations (CONOPS)		Export only agency – milestone is dependent on pending revisions to the ACE Program Plan.
ACE Release Operational MOU		Export only agency – milestone is dependent on pending revisions to the ACE Program Plan.
Agency's Privacy Impact Assessment (PIA)		Export only agency – milestone is dependent on pending revisions to the ACE Program Plan.
System of Record Notice (SORN)		Export only agency – milestone is dependent on pending revisions to the ACE Program Plan.
Interconnect Security Agreement (ISA)		Export only agency – milestone is dependent on pending revisions to the ACE Program Plan.

Activity and Accomplishments

Activities:

1. The GIPSA Functional Assessment was completed in August 2007.
2. GIPSA has completed documentation (Scenario and Diagram) of their "As Is" Business Process (certification of grain exports).
3. The GIPSA Standard Data Set has been finalized and was submitted to the AST Data Harmonization team on November 3, 2008.

Concerns, Risks, and Issues

GIPSA (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
Dates for assessment of performance measures described in plan.	Q4 2009		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Complete the definition of business and system requirements for agency systems.	Q2 2008		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Submit business and system requirements for ACE to CBP.	Q3 2008		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Agree on business and system requirements for ACE with CBP.	Q4 2008		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Submit Concept of Operations to CBP.	Q1 2009		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Agree on Concept of Operations with CBP.	Q2 2009		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Submit completed MOU template to CBP.	Q3 2009		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Sign the appropriate MOU with CBP and other agencies.	Q4 2009		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Information collections to reduce burden on the public consolidated or modified.	Q4 2008		Export only: milestone dependent on pending revisions to the ACE Program Plan.
Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q3 2008		Export only: milestone dependent on pending revisions to the ACE Program Plan.

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*N/A*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	June 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	Yes	Department:	Agriculture	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Export	Number of PGA Forms:	7	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	1	Number of PGA LPCs:	7	Number of PGA Shared Requirements:	*TBD*

IA

Import Administration

iTDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The Import Administration (IA) administers laws involving antidumping and countervailing duties (AD/CVD). As part of this responsibility, IA sets AD/CVD duty rates and provides CBP with liquidation instructions on entries subject to AD/CVD. IA also develops and implements other trade programs including sector-specific agreements and programs. IA sets absolute and tariff rate quotas as part of the Textiles and Apparel Program.

Legal, Operational, and Security

Agreement	?	Responsible Party - Next Action - Target Date
Letter of Exchange (LOE)	√	Approved–CBP legacy system (ACS) MOU signed in 1998
Data Memo of Understanding (MOU)	Y	IA – Review and validate Appendix A data for data MOU – December 2009
Concept of Operations (CONOPS)	Y	IA – Review revised ConOps and comments provided by CBP – December 2009
ACE Release Operational MOU	Y	CBP & IA – Continue discussions regarding MOU for AD/CVD case functionality. – December 2009
Agency's Privacy Impact Assessment (PIA)		TBD - In progress, next action TBD – Target date TBD
System of Record Notice (SORN)		TBD - In progress, next action TBD – Target date TBD
Interconnect Security Agreement (ISA)		TBD

Activity and Accomplishments	Concerns, Risks, and Issues
Activities: 1. IA continued to participate in ongoing testing, integration and training sessions conducted for the ESAR A.2.3.1.a release. This release includes AD/CVD case reference/ management, messages/ inquiries and reports functionalities for which IA is a major stakeholder.	

IA (continued)

ITDS
INTERNATIONAL TRADE DATA SYSTEM

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party - Next Action - Target Date
M1: Dates for assessment of performance measures described in plan.	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.		√	Completed
M3: Submit business and system requirements for ACE to CBP.		√	Completed
M4: Agree on business and system requirements for ACE with CBP.		√	Completed
M5: Submit Concept of Operations to CBP.		√	Completed
M6: Agree on Concept of Operations with CBP.	Q1 2009	R	IA – Review revised ConOps and comments provided by CBP – December 2009
M7: Submit completed MOU template to CBP.	Q3 2008	R	Data: Review and validate Appendix A data for data MOU – December 2009 Operational: CBP & IA – Continue discussions regarding MOU for AD/CVD case functionality. – December 2009
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP and IA – Finalize MOUs (see above) – December 2009
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q2 2009		IA – TBD, Minimal rulemaking or investment anticipated - TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*F*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	15
	SAFE Port Act of 2006 Agency:	No	Department:	Commerce	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility, Regulatory, Statistical	Number of PGA Forms:	TBD	Number of PGA Unique Requirements:	19
	Number of Business Processes:	16	Number of PGA LPCs:	TBD	Number of PGA Shared Requirements:	148

IRS

Internal Revenue Service

iTDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The Internal Revenue Service (IRS) collects excise taxes on Imports and on Heavy Highway Vehicles using U.S. highways. Imported commodities subject to excise taxes include fuel, tires, vehicles Identified by the Environmental Protection Agency as Gas Guzzlers; Ozone Depleting Chemicals, Heavy Highway Vehicles imported for retail sale or commercial use; fishing and archery equipment; and others. IRS regulates excise tax activities by requiring registration for certain activities and by monitoring cargo and conveyance import activities. Importers and consignees who are not properly registered may be subject to penalties. Excise taxes which are applicable to unregistered importers or consignees may be applied at the time of importation rather than at a later stage of the taxable event. IRS also ensures that Heavy Highway Vehicle Use tax return has been properly filed for a foreign licensed vehicle entering the United States.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved-CBP legacy system (ACS) MOU signed in 2002
Data Memo of Understanding (MOU)		CBP – CBP & DHS Privacy Offices need to review determine if they want changes – Target date TBD
Concept of Operations (CONOPS)	√	Approved 7/23/09
ACE Release Operational MOU		TBD
Agency's Privacy Impact Assessment (PIA)	√	July 2007
System of Record Notice (SORN)	√	July 2007
Interconnection Security Agreement (ISA)		TBD

Activity and Accomplishments

Activities:

1. IRS' Letter of Authorization was renewed by CBP authorizing IRS to continue to receive a daily CD of manifest data from CBP (relating to vessel cargoes of any taxable fuel, for all vessels that are designed to carry liquid products) for one year (may be renewed if MOU not in place).

Concerns, Risks, and Issues

IRS (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q4 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Feb 2008	√	completed
M6: Agree on Concept of Operations with CBP.	Q2 2008	√	Approved 7/23/09
M7: Submit completed MOU template to CBP.	Q2 2008	√	Submitted 12/15/08
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2008	R	(see 'legal...' previous slide)
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2009	N/A	IRS has a SORN and PIA in place for records it currently maintains
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q3 2008	N/A	IRS does not expect any additional funding requirements

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	A	Access activated Foreign Trade Zone information:	F
	Access importer, broker, and/or carrier account information:	A	Run ACE reports and/or data extracts:	A
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	N/A	Request CBP to place/remove holds on cargo and/or conveyances:	N/A
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	N/A
Cargo Arrival (Entry)	Entry augmented with agency data elements:	N/A	Automated determination of cargo admissibility:	N/A
	Validate agency entry data elements in ACE or agency system:	N/A	Support enforcement of agency license, permit, and/or certificate:	N/A
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	F	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	N/A
Targeting	Establish screening criteria:	N/A	View risk assessment and screening results:	N/A

Agency Profile

Background	Joined ITDS:	January 2006	Agency Senior Official identified:	Yes	Number of Registered ACE Portal Users:	2
	SAFE Port Act of 2006 Agency:	No	Department:	Treasury	Number of Active ACE Portal Users:	TBD
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Regulatory	Number of PGA Forms:	0	Number of PGA Unique Requirements:	0
	Number of Business Processes:	5	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	160

ITC

U.S. International Trade Commission

Agency Mission

The U.S International Trade Commission (ITC) is an independent, nonpartisan, quasi-judicial federal agency established by Congress with a wide range of trade-related mandates. Under its fact finding authority, the ITC exercises broad investigative powers on matters of international trade. In its adjudicative role, the ITC makes determinations with respect to certain unfair trade practices. Through its research, it is a national resource where trade data are gathered and analyzed to assist the executive branch and the Congress in developing U.S. trade policy.

The ITC contributes to the development and implementation of a sound and informed U.S. trade policy, which requires the USITC to administer U.S. trade remedy laws in a fair and objective manner, to provide the President, the USTR, and the Congress with independent, quality advice and information on matters of international trade and competitiveness, and to maintain the Harmonized Tariff Schedule of the United States.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved-CBP legacy system (ACS) MOU signed in 1992
Data Memo of Understanding (MOU)		MOU in place for ACS data
Concept of Operations (CONOPS)	√	Approved
ACE Release Operational MOU	√	Not applicable
Agency's Privacy Impact Assessment (PIA)	√	Not applicable
System of Record Notice (SORN)	√	Not applicable
Interconnect Security Agreement (ISA)	√	Not applicable

Activity and Accomplishments	Concerns, Risks, and Issues
Activities: 1. Participating in ESAR use case meetings as required.	

ITC (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.			Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.			Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.		√	Approved
M6: Agree on Concept of Operations with CBP.		√	Approved
M7: Submit completed MOU template to CBP.			TBD
M8: Sign the appropriate MOU with CBP and other agencies.			TBD
M9: Information collections to reduce burden on the public consolidated or modified.			Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.			TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	6
	SAFE Port Act of 2006 Agency:	No	Department:	Independent	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	N/A	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	0	Number of PGA Unique Requirements:	*0*
	Number of Business Processes:	2	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	*141*

MARAD

Maritime Administration

Agency Mission

The Maritime Administration (MARAD) ensures that certain percentages of U.S. Government cargo are transported on U.S. flag commercial vessels. To do this, MARAD collects and analyzes information from the CBP Vessel Management System; bills of lading collected from carriers and the Department of Defense, USDA, and USAID; and receives export data electronically from the Census Bureau. MARAD also investigates and keeps records of cargo and vessel movement data.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	Approved by CBP July 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	√	DOT – None - Finalized in May 2008
System of Record Notice (SORN)	√	DOT – None - Finalized in April 2008; published in Federal Register.
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of DOT Operational MOU

Activity and Accomplishments	Concerns, Risks, and Issues
Agency reviewing materials provided by ITDS for preparation of Data MOU.	

MARAD (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q3 2008	√	Submitted
M4: Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Submitted
M6: Agree on Concept of Operations with CBP.	Q4 2008	√	Agreement reached
M7: Submit completed MOU template to CBP.	Q1 2009	√	Submitted
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP OT/R&R – Review/Approval - TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2002	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	5
	SAFE Port Act of 2006 Agency:	No	Department:	Transportation	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Enforcement	Number of PGA Forms:	0	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	1	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	*TBD*

NHTSA

National Highway Traffic Safety Administration

Agency Mission

The National Highway Traffic Safety Administration (NHTSA) ensures that imported vehicles comply with Federal motor vehicle safety standards (FMVSS). NHTSA also licenses importers to bring non-conforming vehicles into conformance with the FMVSS.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved-CBP legacy system (ACS) MOU signed in 1992
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	Approved by CBP July 2009
ACE Release Operational MOU		Legacy Operational MOU in effect
Agency's Privacy Impact Assessment (PIA)	√	DOT – None - Finalized in May 2008
System of Record Notice (SORN)	√	DOT – None - Finalized in April 2008; published in Federal Register.
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of DOT Operational MOU

Activity and Accomplishments	Concerns, Risks, and Issues
1. Agency reviewing materials provided by ITDS for preparation of Data MOU.	

NHTSA (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q3 2008	√	Submitted
M4: Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Submitted
M6: Agree on Concept of Operations with CBP.	Q4 2008	√	Agreement reached
M7: Submit completed MOU template to CBP.	Q1 2009	√	Submitted
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP OT/R&R – Review/Approval - TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	1
	SAFE Port Act of 2006 Agency:	No	Department:	Transportation	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	2	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	3	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

NMFS

National Marine Fisheries Service

Agency Mission

The National Marine Fisheries Service (NMFS) regulates the importation and exportation of certain fish such as swordfish, tooth fish, and tuna in order to promote the management, conservation, and protection of marine resources. NMFS uses information on imports to identify illegal importations or exportations of fish and to assist in its investigations of illegal fishing or related violations.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved-CBP legacy system (ACS) MOU signed in 1999
Data Memo of Understanding (MOU)		Draft of MOU submitted to OT/R&R 12/21/2007, returned by OT/R&R with comments February 8, 2008. NMFS in process of reviewing and updating Data Appendix template and addressing data sharing issues with CBP.
Concept of Operations (CONOPS)	√	Concept of Operations version 1.t approved by CBP on 7/23/2009.
ACE Release Operational MOU		Received template from CBP on 7/27/2009.
Agency's Privacy Impact Assessment (PIA)	√	PIA for NMFS Permits system was completed and published in June 2008.
System of Record Notice (SORN)	√	SORN published in Federal Register April 17, 2008, and the effective date notice published June 11, 2008.
Interconnect Security Agreement (ISA)		Assessment of need for ISA pending finalization of ConOps and Operational MOU.

Activity and Accomplishments

Activities:

1. PIA for NMFS Permits system was completed and published in June 2008.
2. SORN for NMFS Permits system published in Federal Register April 17, 2008; finalized in FR June 11, 2008.
3. Published Advanced Notice of Proposed Rulemaking in Federal Register stating that NMFS intends to require the trade to report import/export transactions electronically through ACE (5/8/2009).
4. Concept of Operations version 1.1 approved by CBP on 7/23/2009.
5. NMFS sent draft Data MOU with Appendix A to CBP (7/31/2009) to initiate informal review and discussion.

Concerns, Risks, and Issues

NMFS (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date	
Dates for assessment of performance measures described in plan.	*TBD*		Milestone is dependent on pending revisions to the ACE Program Plan.	
Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.	
Submit business and system requirements for ACE to CBP.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.	
Agree on business and system requirements for ACE with CBP.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.	
Submit Concept of Operations to CBP.	Q1 2009	√	Concept of Operations submitted to CBP 10/01/2008.	
Agree on Concept of Operations with CBP.	Q1 2009	√	Concept of Operations version 1.1 approved by CBP on 7/23/2009.	
Submit completed MOU template to CBP.	Q3 2008	√	MOU template submitted to CBP OT/R&R 12/21/2007.	
Sign the appropriate MOU with CBP and other agencies.	Q1 2009	R	Draft of MOU submitted to OT/R&R 12/21/2007, returned by OT/R&R with comments February 8, 2008. NMFS in process of finalizing revisions.	
Information collections to reduce burden on the public consolidated or modified.	*TBD*		Milestone is dependent on pending revisions to the ACE Program Plan.	
Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	*TBD*			

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*F*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	February 2006	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	71
	SAFE Port Act of 2006 Agency:	Yes	Department:	Commerce	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes		N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	20	Number of PGA Unique Requirements:	*5*
	Number of Business Processes:	9	Number of PGA LPCs:	3	Number of PGA Shared Requirements:	*110*

NRC

Nuclear Regulatory Commission

iTDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The Nuclear Regulatory Commission (NRC) issues import and export licenses for certain nuclear material, components, and commodities. In some instances, prior consent to import radioactive by-product material is required and must be received by the importing country's regulatory authority, at least 24 hours in advance of the shipment. The NRC currently relays that notice to CBP via email. The NRC has authority to deny entry but its priority is the safe disposition of cargo, and works with CBP to ensure that happens.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	R	Expired July 20, 2008
Data Memo of Understanding (MOU)	R	CBP OT/R&R – Reviewing (Draft MOU submitted Oct 2007) – Target date?
Concept of Operations (CONOPS)		N/A, data consumer only
ACE Release Operational MOU		N/A
Agency's Privacy Impact Assessment (PIA)		NRC determined this is not required
System of Record Notice (SORN)		NRC - prepare all security documentation necessary – Target Date TBD
Interconnect Security Agreement (ISA)		NRC - prepare all security documentation necessary – Target Date TBD

Activity and Accomplishments

Activities:

1. NRC and MST have provided information to, and answered questions posed by, OT/R&R to assist OT/R&R with completion of the Data MOU.

Concerns, Risks, and Issues

Concern:

1. The NRC Letter of Exchange expired on July 20, 2008. NRC no longer has ACE Portal access. NRC may request an LOE extension to cover until the MOU is in place.

NRC (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q4 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q2 2009		N/A, data consumer only
M6: Agree on Concept of Operations with CBP.	Q2 2009		N/A, data consumer only
M7: Submit completed MOU template to CBP.	Q2 2009	√	CBP OT/R&R – Reviewing (Draft MOU submitted Oct 2007) – Target date TBD
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2008	R	CBP OT/R&R – Reviewing (Draft MOU submitted Oct 2007) – Target date TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		NRC - Prepare all security documentation necessary – Target date TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	A	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	A	Run ACE reports and/or data extracts:	A
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	N/A	Request CBP to place/remove holds on cargo and/or conveyances:	N/A
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	N/A
Cargo Arrival (Entry)	Entry augmented with agency data elements:	N/A	Automated determination of cargo admissibility:	N/A
	Validate agency entry data elements in ACE or agency system:	N/A	Support enforcement of agency license, permit, and/or certificate:	N/A
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	N/A	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	N/A
Targeting	Establish screening criteria:	N/A	View risk assessment and screening results:	N/A

Agency Profile

Background	Joined ITDS:	January 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	1
	SAFE Port Act of 2006 Agency:	Yes	Department:	Independent	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	N/A	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	N/A	Number of PGA Unique Requirements:	0
	Number of Business Processes:	N/A	Number of PGA LPCs:	N/A	Number of PGA Shared Requirements:	93

OES

Bureau of Oceans and International Environmental and Scientific Affairs

Agency Mission

The Department of State leads an interagency effort to enforce Public Law 101-162, Section 609 provisions requiring nations that export trawl-caught shrimp to the United States to implement sea turtle protection programs comparable to the US program. The goal of this legislation is to protect sea turtle populations world-wide from further decline by reducing the incidental sea turtle mortality in commercial shrimp trawl operations. Based on the information it compiles through certification visits and from our embassies, on May 1 of each year the Department of State provides to the Congress and to CBP a list of countries that harvest shrimp pursuant to Section 609 requirements. CBP requires all shrimp importers to complete and file the Shrimp Exporter's/Importer's Declaration (form DS-2031), which provides certification from either the importer or a foreign government official that the product complies with Section 609 requirements.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		None on file
Data Memo of Understanding (MOU)		OES will provide to CBP upon completion of ConOps
Concept of Operations (CONOPS)		Draft being prepared for CBP review
ACE Release Operational MOU		CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of the need for a PIA is pending finalization of ConOps and Operational MOU
System of Record Notice (SORN)		TBD by CBP
Interconnect Security Agreement (ISA)		Assessment of the need for an ISA is pending finalization of ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. OES is reviewing the OES to Standard Data Set data mapping.
2. Department of State (A/LM, DS/OFM, DDTC, OES): DS/OFM meeting TBD among all PGAs within State Department to discuss DS/OFM plans and explore possibilities to leverage common practices across State Department agencies.
3. OES to confirm OES' legal authority that limits its role to a post-release reporting and analysis (i.e. Data Consumer) and NOT release admissibility.
4. In July 2009 OES and CBP determined that OES will require CBP to continue to collect the DS-2031at the time of entry, but verify only via random exam (Pre-Release) or audit (Post-Release).
5. In July 2009 the OES To-Be process flow for shrimp importations was drafted that reflect the new business process with CBP defined above.

Concerns, Risks, and Issues

OES (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	TBD		None submitted
M6: Agree on Concept of Operations with CBP.	TBD		None submitted
M7: Submit completed MOU template to CBP.	TBD		None submitted
M8: Sign the appropriate MOU with CBP and other agencies.	TBD		Dependent on CBP
M9: Information collections to reduce burden on the public consolidated or modified.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	TBD		TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	October 2007	Agency Senior Official identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	Yes	Department:	State	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	1	Number of PGA Unique Requirements:	TBD
	Number of Business Processes:	2	Number of PGA LPCs:	1	Number of PGA Shared Requirements:	TBD

OFAC

Office of Foreign Assets Control

Agency Mission

The Office of Foreign Assets Control (OFAC) administers and enforces economic and trade sanctions. OFAC administers country sanctions by providing written instructions and copies of OFAC licenses in letter form to CBP for use in the ports to determine the admissibility of shipments within OFAC's jurisdiction. OFAC also designates persons and organizations (Specially Designated Nationals and Blocked Persons (SDN List)) with whom U.S. persons may not trade. Any assets or property of SDN designees that come into U.S. jurisdiction are placed under U.S. government control.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–Expires February 13, 2010
Data Memo of Understanding (MOU)	R	OFAC – review comments received from CBP – date TBD (new OFAC legal person to be briefed by OFAC POC)
Concept of Operations (CONOPS)	√	Approved by OFAC and CBP in November 2006
ACE Release Operational MOU		TBD
Agency's Privacy Impact Assessment (PIA)		TBD
System of Record Notice (SORN)		N/A (OFAC is not storing any data in its systems)
Interconnect Security Agreement (ISA)		TBD

Activity and Accomplishments	Concerns, Risks, and Issues

OFAC (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q1 2005	√	
M2: Complete the definition of business and system requirements for agency systems.	Q2 2005	√	
M3: Submit business and system requirements for ACE to CBP.	Q3 2005	√	
M4: Agree on business and system requirements for ACE with CBP.	Q3 2005	√	Re-evaluated Q4 2007 and Q2 2008
M5: Submit Concept of Operations to CBP.	Dec 2005	√	
M6: Agree on Concept of Operations with CBP.	Nov 2006	√	
M7: Submit completed MOU template to CBP.	Nov 2007	√	
M8: Sign the appropriate MOU with CBP and other agencies.	Q3 2008	R	OFAC – review comments received from CBP – date TBD (OFAC awaiting new legal staff to come on board)
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q3 2008	R	TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	June 2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	7
	SAFE Port Act of 2006 Agency:	No	Department:	Treasury	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	2	Number of PGA Unique Requirements:	15
	Number of Business Processes:	7	Number of PGA LPCs:	2	Number of PGA Shared Requirements:	167

OFE

Office of Fossil Energy

Agency Mission

The Department of Energy's Office of Fossil Energy (OFE) regulates natural gas imports and exports. OFE issues "blanket" authorizations of up to two years and long-term authorizations greater than two years to import and export natural gas. There is no standard application form; OFE provides guidelines on how to write the application. Applicants may apply for blanket authorization online on OFE's Web site. Longer applications must be mailed to OFE. Authorized importers and exporters are required to send a quarterly report to OFE of all their natural gas imports and exports.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	Y	OFE – Submit LOE to CBP – 30 September 2009
Data Memo of Understanding (MOU)	Y	OFE – Completion of Data MOU template – 30 September 2009
Concept of Operations (CONOPS)	Y	OFE – Review and Approve Draft ConOps – 30 September 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	Y	OFE – Determine need for PIA – 30 September 2009
System of Record Notice (SORN)	Y	OFE – Determine need for SORN – 30 September 2009
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of OFE's ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. Data mappings to the Standard Data Set have been approved by OFE and ITDS.
2. OFE approved To-Be business process diagrams. (2 April 2009)
3. Agency reviewing materials provided by ITDS for preparation of Data MOU.
4. ITDS sent draft ConOps document to agency for completion.
5. Agency revising "To-Be" business process diagrams.
6. ITDS and OFE meeting 7/22 finalized draft ConOps. Ready for OFE internal review and approval.

Concerns, Risks, and Issues

OFE (continued)

iTDS
INTERNATIONAL TRADE DATA SYSTEM

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q1 2012		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q2 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q3 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q2 2009	R	EIA – Complete ConOps – 30 September 2009
M6: Agree on Concept of Operations with CBP.	Q4 2009	Y	EIA – Agree on ConOps – 30 September 2009
M7: Submit completed MOU template to CBP.	Q2 2010	Y	EIA – Complete MOU Template – 30 November 2009
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2010	Y	EIA – Sign MOU – 31 December 2009
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2012		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2008	R	

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	August 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	Yes	Department:	Energy	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	*4*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*TBD*	Number of PGA LPCs:	*2*	Number of PGA Shared Requirements:	*TBD*

PHMSA

Pipeline and Hazardous Materials Safety Administration

Agency Mission

The Pipeline and Hazardous Materials Safety Administration (PHMSA) formulates and issues Federal rules for international hazardous material shipments. PHMSA focuses its enforcement efforts on packaging manufacturers and multimodal shippers of hazardous materials. PHMSA requires individuals who transport or offer for transportation certain types or quantities of hazardous materials to register annually with DOT.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	Approved by CBP July 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	√	DOT – None - Finalized in May 2008
System of Record Notice (SORN)	√	DOT – None - Finalized in April 2008; published in Federal Register.
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of DOT Operational MOU

Activity and Accomplishments	Concerns, Risks, and Issues
1. Business Case written for "Near Term Opportunity". 2. Agency reviewing materials provided by ITDS for preparation of Data MOU.	

PHMSA (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q3 2008	√	Submitted
M4: Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Submitted
M6: Agree on Concept of Operations with CBP.	Q4 2008	√	Agreement reached
M7: Submit completed MOU template to CBP.	Q1 2009	√	Submitted
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP OT/R&R – Review/Approval - TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Transportation	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Enforcement	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	1	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

TSA

Transportation Security Administration

Agency Mission

The Transportation Security Administration (TSA) is responsible for security relating to civil aviation, maritime, and all other modes of transportation, including transportation facilities such as airports and ports, and on the Nation's railroads, highways, and public transit systems. Along with CBP and the U.S. Coast Guard, TSA works to secure the maritime transportation mode of the transportation system. TSA's Maritime Security Division is involved in credentialing as well as passenger and vehicle screening techniques and procedures.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	LOE not required per DHS information Sharing Policy
Data Memo of Understanding (MOU)		
Concept of Operations (CONOPS)		
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)		
System of Record Notice (SORN)		
Interconnect Security Agreement (ISA)		

Activity and Accomplishments	Concerns, Risks, and Issues
	Concerns: 1. Agency not currently participating in iTDS integration process.

TSA (continued)

iTDS
INTERNATIONAL TRADE DATA SYSTEM

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	TBD		
M6: Agree on Concept of Operations with CBP.	TBD		
M7: Submit completed MOU template to CBP.	TBD		
M8: Sign the appropriate MOU with CBP and other agencies.	TBD		
M9: Information collections to reduce burden on the public consolidated or modified.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	TBD		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	AN	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	AN	Run ACE reports and/or data extracts:	AN
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	TBD	Request CBP to place/remove holds on cargo and/or conveyances:	TBD
	Place/remove holds directly on cargo and/or conveyances:	TBD	Refer bill of lading information to CBP and/or other PGAs:	TBD
Cargo Arrival (Entry)	Entry augmented with agency data elements:	TBD	Automated determination of cargo admissibility:	TBD
	Validate agency entry data elements in ACE or agency system:	TBD	Support enforcement of agency license, permit, and/or certificate:	TBD
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	TBD	Government entry processing:	TBD
	Anti-dumping/countervailing duty summary processing:	TBD	Foreign Trade Zone entry processing:	TBD
Targeting	Establish screening criteria:	TBD	View risk assessment and screening results:	TBD

Agency Profile

Background	Joined ITDS:	2005	Agency Senior Official Identified:	No	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	TBD	Department:	Homeland Security	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	TBD	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	TBD	Number of PGA Forms:	TBD	Number of PGA Unique Requirements:	TBD
	Number of Business Processes:	TBD	Number of PGA LPCs:	TBD	Number of PGA Shared Requirements:	TBD

TTB

Alcohol and Tobacco Tax and Trade Bureau

Agency Mission

The Alcohol and Tobacco Tax and Trade Bureau (TTB) licenses importers of alcohol or tobacco. TTB also approves labels for alcoholic beverages imported into the United States. TTB monitors alcohol imports and exports to ensure license, label, and tax requirements are being met and also monitors imports in case of threats to public health.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved-CBP legacy system (ACS) MOU signed in 1993
Data Memo of Understanding (MOU)		CBP – Review MOU submitted by TTB – Target DateTBD. The data MOU was initially submitted to CBP in December 2007
Concept of Operations (CONOPS)		CBP – Review revised CONOPS submitted by TTB on 08/10/2009– Target Date TBD
ACE Release Operational MOU		CBP OT/R&R - Review revisions made by TTB – Target Date TBD
Agency's Privacy Impact Assessment (PIA)		TBD
System of Record Notice (SORN)	√	TTB believes the current SORN is adequate
Interconnection Security Agreement (ISA)		TBD

Activity and Accomplishments

Activities:

1. Meeting with TTB to discuss TTB's updated mapping to the SDS was conducted on 05/26/2009. AST distributed updated SDS mapping to TTB on 06/03/2009
2. ITDS MST submitted revised CONOPS to TTB for review and approval on 08/07/2009. TTB approved the revised CONOPS for re-submission to CBP on 08/10/2009.

Concerns, Risks, and Issues

Concerns:

1. CBP today collects various taxes for TTB on alcohol products which have different 'food colors' in them and are taxed at different rates, and the importer gets a refund/rebate on some of them. CBP, in the future, does not want to collect different taxes for each of these different food-colored/additive categories. CBP suggests that if TTB wants to continue to offer a refund/rebate, do it AFTER the taxes are collected, on their own.
2. Classification and taxing of tobacco products is different between CBP and TTB. This needs to be settled between the two agencies.

Note: These issues may require changes to legal authority and will have to be discussed with CBP and TTB lawyers.

TTB (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q4 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q2 2007	√	
M6: Agree on Concept of Operations with CBP.	Q3 2008	R	CBP – Review TTB Conops re-submitted by TTB on 08/10//2009. Target Date TBD.
M7: Submit completed MOU template to CBP.	Q1 2008	√	
M8: Sign the appropriate MOU with CBP and other agencies.	Q3 2008	R	CBP OT/R&R - Review pending; TTB has submitted their operational and data MOU to CBP – Target Date TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2008	N/A	Implementation of ITDS should allow TTB to eliminate a "SORN-system" because TTB will use the portal instead of receiving a download from TTB
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q2 2008	√	TTB submitted 300B to OMB that fulfills the capital planning requirement. No regulatory changes will be needed under TTB's plan

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	May 2005	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	21
	SAFE Port Act of 2006 Agency:	Yes	Department:	Treasury	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	0	Number of PGA Unique Requirements:	11
	Number of Business Processes:	9	Number of PGA LPCs:	6	Number of PGA Shared Requirements:	213

USACE

United States Army Corps of Engineers

ITDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The U.S. Army Corps of Engineers (USACE) maintains databases and statistics pertaining to waterborne commodity and vessel movements and domestic commercial vessel characteristics, as well as information on the Harbor Maintenance Fees paid by the trade and transportation community, which it uses for determining the need for building, improving, or rehabilitating port and waterway facilities, lock facilities, lock operations, and navigation dredging projects.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		
Data Memo of Understanding (MOU)	Y	USACE – Complete Internal Review of Data MOU – 31 August 2009
Concept of Operations (CONOPS)	√	Approved by CBP January 2007
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	Y	USACE – Determine need for PIA – 30 September 2009
System of Record Notice (SORN)	Y	USACE – Determine need for SORN – 30 September 2009
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of USACE Operational MOU

Activity and Accomplishments

1. Agency reviewing materials provided by ITDS for preparation of Data MOU.
2. USACE participated in the inspection of the Interface Control Document prepared by ACE MST Systems Interface team on 18 May 2009.
3. Data MOU in work by USACE legal team.

Concerns, Risks, and Issues

Concerns:

1. Currently, no one at USACE has portal access.

Risk:

1. Without Portal access USACE will risk not getting needed data once ACE is system of record.

Status:

1. Background Investigation started for USACE user.

USACE (continued)

iTDS
INTERNATIONAL TRADE DATA SYSTEM

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2008	√	USACE has existing systems infrastructure. Minimal additional requirements
M3: Submit business and system requirements for ACE to CBP.	Q2 2008	√	Minimal impact on requirements
M4: Agree on business and system requirements for ACE with CBP.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.		√	Submitted in 2006
M6: Agree on Concept of Operations with CBP.		√	USACE CONOPS approved by CBP January 2007
M7: Submit completed MOU template to CBP.	Q4 2009	Y	USACE – Complete MOU Template – 30 September 2009
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2009	Y	USACE – Sign MOU when approved by CBP - TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2003	Agency Senior Official identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Defense	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	8	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	2	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	*TBD*

USAID

U.S. Agency for International Development

Agency Mission

The U.S. Agency for International Development (USAID) provides humanitarian aid and development assistance to lesser developed countries. USAID is the Federal Government's second largest exporter. USAID awards contracts/grants to U.S. entities and these U.S. entities in turn partner with host country entities for delivery of goods/commodities via U.S.-flagged carriers.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		None on file
Data Memo of Understanding (MOU)		To be provided to CBP upon completion of ConOps
Concept of Operations (CONOPS)		On hold – Export only
ACE Release Operational MOU		CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of the need for a PIA is pending finalization of ConOps and Operational MOU
System of Record Notice (SORN)		TBD by CBP
Interconnect Security Agreement (ISA)		Assessment of the need for an ISA is pending finalization of ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. USAID is a new PGA that is focused on Exports. USAID plans to increase their participation when exports are planned for ACE.

Concerns, Risks, and Issues

USAID (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	TBD		None submitted
M6: Agree on Concept of Operations with CBP.	TBD		None submitted
M7: Submit completed MOU template to CBP.	TBD		None submitted
M8: Sign the appropriate MOU with CBP and other agencies.	TBD		Dependent on CBP
M9: Information collections to reduce burden on the public consolidated or modified.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	TBD		TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	Nov 2007	Agency Senior Official identified:	No	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Independent	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official identified:	N/A	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Exports	Number of PGA Forms:	3	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	N/A	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	*TBD*

USCG

United States Coast Guard

Agency Mission

In addition to its maritime safety and security responsibilities at U.S. ports, the United States Coast Guard (USCG) performs regulatory inspection and compliance verifications in the port. This includes inspecting vessels and containers that transport imported and exported cargo. USCG requires vessel operators to notify USCG of arrival into U.S. territorial waters 96 hours prior to arrival. Advance notice information is submitted to the National Vessel Movement Center, which notifies local Coast Guard units of these vessel arrivals. Information contained on the advance notice includes vessel name, registered owner, country of registry, passenger and crew manifests, and general description of cargo. Upon vessel arrival, USCG will inspect containers that are carrying hazardous materials or suffering from a structural defect. USCG will hold this cargo at the port until the problem is resolved.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	LOE not required per DHS Information Sharing Policy.
Data Memo of Understanding (MOU)	√	MOU not required per DHS Policy for Internal Information Exchange and Sharing.
Concept of Operations (CONOPS)		ITDS & USCG – ConOps development in process, including development of As Is processes.
ACE Release Operational MOU		TBD
Agency's Privacy Impact Assessment (PIA)		Assessment of need for PIA pending finalization of ACE interface requirements. [Assumed to be not applicable.]
System of Record Notice (SORN)		Assessment of need for SORN pending finalization of ACE interface requirements. [Assumed to be not applicable.]
Interconnect Security Agreement (ISA)		Assessment of need for ISA pending finalization of ACE interface requirements. [Assumed to be not applicable.]

Activity and Accomplishments

Activities:

1. Operational Support Meeting for Pilot was held on July 30, 2009
2. ConOps Team is meeting weekly to identify and solidify current CG-CBP To Be processes and Hold Messages
4. SCO assignments have been identified by the Coast Guard for CG HQ and for Sector Charleston; procedures will be developed, and training will be identified

Concerns, Risks, and Issues

USCG (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	TBD		ITDS & USCG – Continue ITDS integration process including data mapping to the SDS and determining USCG's plans for using ACE.
M6: Agree on Concept of Operations with CBP.	TBD		
M7: Submit completed MOU template to CBP.	N/A		Data MOU not required per DHS Information Sharing Policy
M8: Sign the appropriate MOU with CBP and other agencies.	N/A		Data MOU not required per DHS Information Sharing Policy
M9: Information collections to reduce burden on the public consolidated or modified.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	TBD		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*TBD*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*TBD*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	March 2008	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	1
	SAFE Port Act of 2006 Agency:	No	Department:	Homeland Security	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	*TBD*
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*TBD*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

USTR

Office of the U.S. Trade Representative

Agency Mission

The Office of the United States Trade Representative (USTR) is responsible for developing and coordinating U.S. international trade, commodity, and direct investment policy, and overseeing negotiations with other countries.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		TBD
Data Memo of Understanding (MOU)		TBD
Concept of Operations (CONOPS)		TBD
ACE Release Operational MOU		TBD
Agency's Privacy Impact Assessment (PIA)		TBD
System of Record Notice (SORN)		TBD
Interconnect Security Agreement (ISA)		TBD

Activity and Accomplishments	Concerns, Risks, and Issues
Activities: 1. In response to a request from the agency, MST/ITDS provided information regarding the process for individuals to apply for access to the ACE portal.	

USTR (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	TBD		TBD
M6: Agree on Concept of Operations with CBP.	TBD		TBD
M7: Submit completed MOU template to CBP.	TBD		TBD
M8: Sign the appropriate MOU with CBP and other agencies.	TBD		TBD
M9: Information collections to reduce burden on the public consolidated or modified.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	TBD		TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	TBD	Delegation of ACE Security Control Officer responsibilities to agency:	TBD
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	TBD	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2005	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Justice	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	*TBD*	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*TBD*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

Glossary

Acronyms

#	Term/Symbol	Definition/Description
1.	√	Activity completed, milestone achieved.
2.	Y	Activity may not be completed on schedule, milestone at risk.
3.	R	Milestone has not been achieved.
4.	?	Activity and/or milestone status.
5.	ABI	Automated Broker Interface
6.	ACE	Automated Commercial Environment
7.	AD/CVD	Antidumping and Countervailing Duties
8.	AES	Automated Export System
9.	AMS	Automated Manifest System
10.	CBP	U.S. Customs and Border Protection
11.	CCL	Commerce Control List
12.	CONOPS	Concept of Operations
13.	DAIRIES	Dairy Accelerated Imported Retrieval and Information Exchange System
14.	Department/Agency Senior Official Identified	Clay Johnson, Deputy Director for Management, Office of Management and Budget, issued a memorandum for the heads of departments and agencies on September 10, 2007, which directed departments and agencies to identify a senior executive who will coordinate wth Executive Agents to develop the agency's plan to fully utilize ITDS by 2009.
15.	DHS	Department of Homeland Security
16.	ECCN	Export Control Classification Numbers
17.	ESAR	Entry Summary, Accounts, and Revenue
18.	FMVSS	Federal Motor Vehicle Safety Standards
19.	FTZ	Foreign Trade Zones
20.	HTS	Harmonized Tariff Schedule
21.	Import Safety Priority Agency	Agency that has been identified in the Interagency Working Group for Import Safety's Action Plan for Import Safety, November 2007
22.	ISA	Interconnect Security Agreement
23.	ITDS	International Trade Data System
24.	ITDS AST	The ACE Support Team, the prime contractor supporting the ITDS Program Management Office
25.	LOE	Letter of Exchange
26.	LPC	License, Permit, or Certificate
27.	MOU	Memo of Understanding
28.	OFO	U.S. Customs and Border Protection Office of Field Operations
29.	OMB	Office of Management and Budget

Glossary

Acronyms (continued)

#	Term/Symbol	Definition/Description
30.	OT/R&R	U.S. Customs and Border Protection Office of Regulations & Rulings
31.	OT	U.S. Customs and Border Protection Office of International Trade
32.	OTIs	Ocean Transportation Intermediaries
33.	PIA	Privacy Impact Assessment
34.	PVOs	Passenger Vessel Operators
35.	RITA	Research and Innovative Technology Administration
36.	SAFE Port Act of 2006 Agency	Security and Accountability for Every Port Act of 2006–"All Federal agencies that require documentation for clearing or licensing the importation and exportation of cargo shall participate in the ITDS"
37.	SDN List	Specially Designated Nationals and Blocked Persons
38.	SNAP-R	Simplified Network Application Process Redesign
39.	SORN	System of Record Notice
40.	SUGARS	Sugar Users Group Accounting and Reporting System
41.	TBD	To be determined
42.	TSCA	Toxic Substances Control Act
43.	VMS	Vessel Management System

Glossary

Legal, Operational, and Security Agreement Definitions

#	Term/Symbol	Definition/Description
1.	Letter of Exchange	An interim legal agreement between CBP and an agency that allows agency users with an appropriate security clearance to be provided access to ACE. The intention of the LOE is to provide an interim legal agreement while CBP and the agency negotiate a Data MOU. The duration of an LOE is one year.
2.	Data MOU	The agreement between CBP and an agency that established the data agency ACE users are legally allowed to view.
3.	Concept of Operations	The CONOPS is the operational agreement between CBP and an agency that defines an agency's end state or "To Be" role in the cargo pre-arrival, arrival, and post arrival process for commodities the agency regulates when ACE is fully deployed. A CBP and agency approved CONOPS must be in place before an Operational Agreement can be approved.
4.	ACE Release Operational MOU	The agreement between CBP and an agency that defines an agency's role in the cargo pre-arrival, arrival, and post arrival process for commodities the agency regulates. An agency's operational agreement will need to be updated for each ACE release.
5.	Agency Privacy Impact Assessment	An analysis of how ACE information is handled: (i) to ensure handling conforms to applicable legal, regulatory, and policy requirements regarding privacy, (ii) to determine the risks and effects of collecting, maintaining and disseminating information in identifiable form in an electronic information system, and (iii) to examine and evaluate protections and alternative processes for handling information to mitigate potential privacy risks. Each agency's Privacy Officer must assess whether a PIA is required by the agency for each ACE release.
6.	System of Record Notice	A system of records is a group of records under the control of an agency which contains a personal identifier (such as a name, date of birth, finger print, Social Security Number, Employee Number, etc.) and one other item of personal data (such as home address, performance rating, blood type, etc.) from which information is retrieved by a personal identifier. The Privacy Act requires each agency to publish notice of its systems of records in the *Federal Register* which are called "system of records notice" (SORN).
7.	Interconnect Security Agreement	The agreement between CBP and an agency that defines the security requirements for establishing, operating, and maintaining communications links between CBP and the agency.

Glossary

ITDS e-Government Scorecard Definitions

#	OMB Milestone	Description/Completion Criteria
M1:	Dates for assessment of performance measures described in plan.	*TBD*
M2:	Complete the definition of business and system requirements for agency systems.	Agency has documented the business and systems requirements for enhancements needed to existing agency systems and/or new agency systems needed to deploy the operational capabilities defined in the agency's CONOPS (e.g., ACE to agency system-to-system capability).
M3:	Submit business and system requirements for ACE to CBP.	An agency has reviewed and approved the ACE Release Requirement Specification Set (RSS) statements that have been defined for an agency.
M4:	Agree on business and system requirements for ACE with CBP.	An agency's RSS statements have been approved by CBP and included in the requirements baseline of an ACE release.
M5:	Submit Concept of Operations to CBP.	An agency's concept of operations document has been delivered to CBP for review and approval.
M6:	Agree on Concept of Operations with CBP.	An agency's concept of operations document has gone through CBP and the agency's executive approval process.
M7:	Submit completed MOU template to CBP.	An agency's data or operational MOU has been delivered to CBP for review and approval.
M8:	Sign the appropriate MOU with CBP and other agencies.	An agency's data or operational MOU has gone through CBP and the agency's executive approval process.
M9:	Information collections to reduce burden on the public consolidated or modified.	*TBD*
M10:	Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize iTDS by 2009.	An agency's documented plan for rulemaking and/or capital investments needed to realize the operational capabilities identified in the agency's approved CONOPS.