ITDS Agency Integration Status

ITDS AGENCY INTEGRATION STATUS

A/LM, State Despatch, Office of Logistics Management 95
AMS, Agricultural Marketing Service 97
APHIS, Animal and Plant Health Inspection Service 99
ATF, Bureau of Alcohol, Tobacco, Firearms, and Explosives 101
BIS, Bureau of Industry and Security 103
BLS, Bureau of Labor Statistics 105
BTS, Bureau of Transportation Statistics 107
CDC, Centers for Disease Control and Prevention 109
Census, Bureau Census 111
CPSC, Consumer Product Safety Commission 113
DCMA, Defense Contract Management Agency 115
DDTC, Directorate of Defense Trade Controls 117
DEA, Drug Enforcement Agency 119
DS/OFM, Bureau of Diplomatic Security, Office of Foreign Missions 121
EIA, Office of Oil and Gas—Energy Information Administration 123
EPA, Environmental Protection Agency 125
FAA, Federal Aviation Administration 127
FAS, Foreign Agriculture Service 129
FCC, Federal Communications Commission 131
FDA, Food and Drug Administration 133
FHWA, Federal Highway Administration 135
FinCEN, Financial Crimes Enforcement Network 137
FMC, Federal Maritime Commission 139
FMCSA, Federal Motor Carrier Safety Administration 141
FSIS, Food Safety Inspection Service 143
FTZB, Foreign Trade Zone Board 145
FWS, Fish and Wildlife Service 147
GISPA, Grain Inspection, Packers and Stockyard Administration 149
IA, Import Administration 151
IRS, Internal Revenue Service 153
ITC, U.S. International Trade Commission 155
MARAD, Maritime Administration 157
NHTSA, National Highway Traffic Safety Administration 159
NMFS, National Marine Fisheries Service 161
NRC, Nuclear Regulatory Commission 163
OES, Bureau of Oceans and International Environmental and Scientific Affairs . 165
OFAC, Office of Foreign Assets Control 167
OFE, Office of Fossil Energy 169
PHMSA, Pipeline and Hazardous Materials Safety Administration 171
TSA, Transportation Security Administration 173
TTB, Alcohol and Tobacco Tax and Trade Bureau 175

USACE, United States Army Corps of Engineers 177
USAID, U.S. Agency for International Development 179
USCG, United States Coast Guard 181
USTR, Office of the U.S. Trade Representative 183
Glossary, Acronyms 185
Glossary, Legal, Operational, and Security Agreement Definitions 187
Glossary, ITDS e-Government Scorecard Definitions 188

A/LM

State Despatch, Office of Logistics Management

Agency Mission

A/LM supports Despatch Agencies and provides customs clearance and freight forwarding services for inbound and outbound property of U.S. Government employees. This includes household effects, unaccompanied air baggage (UAB) and privately-owned vehicles (POV). In addition, they offer an extensive International Through Government Bill of Lading (ITGBL) service for post–to-US and post-to-post employee reassignment.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		None on file
Data Memo of Understanding (MOU)		To be completed upon completion of ConOps
Concept of Operations (CONOPS)	√	Revised ConOps is pending A/LM decision regarding 7501/ABI filing
ACE Release Operational MOU		TBD by CBP and A/LM based on Filer Code/7501 decision
Agency's Privacy Impact Assessment (PIA)		TBD by CBP and A/LM based on Filer Code/7501 decision
System of Record Notice (SORN)		TBD by CBP and A/LM based on Filer Code/7501 decision
Interconnect Security Agreement (ISA)		TBD by CBP and A/LM based on Filer Code/7501 decision

Activity and Accomplishments

Activities:

1. A/LM is currently developing their standard data set mapping.
2. A/LM submitted CONOPS to CBP/ITDS on June 27, 2008, and had a follow up meeting with CBP/ITDS on September 18, 2008. Agency approved ConOps V2.0.0 submitted 3/30/2009.
3. A/LM revised ConOps briefing was held April 22, 2009 with various offices of CBP. Following this briefing CBP approved A/LM filing 7501s for all shipments via ABI in lieu of the 3299. DoS is reviewing this option internally and will review a list of ABI software vendors.
4. Department of State (A/LM, DS/OFM, DDTC, OES): DS/OFM meeting TBD among all PGAs within State Department to discuss DS/OFM plans and explore possibilities to leverage common practices across State Department agencies.

Concerns, Risks, and Issues

Concerns:

1. A/LM would like the documentation required for CBPF 3299 (Declaration for Free Entry of Unaccompanied Articles) to be uniform across all CBP ports of entry. Based on ConOps briefing on April 22, 2009, CBP will address the uniformity issue with the involved ports and/or allow A/LM to file an electronic 7501. A/LM's concern has been resolved based on CBP OT/R&R decision to allow A/LM to file a 7501 via ABI.

A/LM (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q1 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q3 2008		Revised ConOps is pending A/LM decision regarding 7501/ABI filing
M6: Agree on Concept of Operations with CBP.	Q3 2008	R	Revised ConOps is pending A/LM decision regarding 7501/ABI filing
M7: Submit completed MOU template to CBP.	Q3 2008	R	Revised ConOps is pending A/LM decision regarding 7501/ABI filing
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2008	R	Revised ConOps is pending A/LM decision regarding 7501/ABI filing
M9: Information collections to reduce burden on the public consolidated or modified.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2008	R	TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	AN	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	AN	Run ACE reports and/or data extracts:	AN
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	N/A	Request CBP to place/remove holds on cargo and/or conveyances:	F
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	F
Cargo Arrival (Entry)	Entry augmented with agency data elements:	N/A	Automated determination of cargo admissibility:	N/A
	Validate agency entry data elements in ACE or agency system:	N/A	Support enforcement of agency license, permit, and/or certificate:	N/A
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	N/A	Government entry processing:	N/A
	Anti-dumping/countervailng duty summary processing:	N/A	Foreign Trade Zone entry processing:	N/A
Targeting	Establish screening criteria:	N/A	View risk assessment and screening results:	N/A

Agency Profile

Background	Joined ITDS:	June 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	State	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	0	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	1	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	*TBD*

AMS

Agricultural Marketing Service

Agency Mission

The Agricultural Marketing Service (AMS) inspects certain imported fresh and processed fruits and vegetables, poultry, and tobacco products to verify that they meet the same quality and condition requirements as domestic products. Under a MOU with FDA, AMS also inspects certain processed products to verify that contaminants in foods are within FDA tolerances. AMS assesses fees that CBP collects on imported products that are covered by Federal research and promotion programs. AMS also tracks and publishes current information on agricultural imports and exports by commodity.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved – CBP legacy system MOU signed in 2002
Data Memo of Understanding (MOU)		CBP attorney Mary Beth McLoughlin Hess indicated in July 20, 2009 email that she drafted a potential Reimbursement Agreements for AMS assessment fees collected which needs to be approved by CBP and AMS as an attachment to the MOU. AMS will also try to find the person(s) in AMS responsible for paying the annual Reimbursement Agreement CBP/AMS have in place.
Concept of Operations (CONOPS)	√	Approved by CBP Assistant Commissioner, International Trade on May 19, 2008
ACE Release Operational MOU		CBP to finalize Operational MOU template - date TBD and AST to finalize ACE M2.3 requirements - date TBD
Agency's Privacy Impact Assessment (PIA)		AMS assessment of need for PIA pending finalization of ACE M2.3 requirements to determine Agency system requirements - date TBD
System of Record Notice (SORN)		AMS assessment of need for SORN pending finalization of ACE M2.3 requirements to determine Agency system requirements - date TBD
Interconnect Security Agreement (ISA)		AMS assessment of need for ISA pending finalization of ACE M2.3 requirements to determine ACE interface requirements - date TBD

Activity and Accomplishments

Activities:

1. No significant ITDS activities during this period.

Concerns, Risks, and Issues

Concern:

1. Need to confirm AMS will be provided Reports for Dairy and Sorghum fees collected in ACE A2.2. May require a Change Request (CR).

AMS (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q1 2008	√	AMS to determine Agency system requirements pending finalization of ACE M2.3 requirements - date TBD
M3: Submit business and system requirements for ACE to CBP.	Q1 2008	√	Cargo Control and Release (CCR) requirements workshop conducted February 2008.
M4: Agree on business and system requirements for ACE with CBP.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	AMS submitted ConOps to CBP on August 24, 2007
M6: Agree on Concept of Operations with CBP.	Q4 2008	√	Approved by CBP Assistant Commissioner, International Trade on May 19, 2008
M7: Submit completed MOU template to CBP.	Q1 2008	√	First draft sent January 24, 2008; updates sent April 21 and October 6
M8: Sign the appropriate MOU with CBP and other agencies.	Q1 2009	R	CBP attorney Mary Beth McLoughlin Hess indicated in July 20, 2009 email that she drafted a potential Reimbursement Agreements for AMS assessment fees collected which needs to be approved by CBP and AMS as an attachment to the MOU.
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q2 2008 Q3 2008	R	Agency has not identified need for rulemaking; process of identifying capital investment needs is ongoing, and is dependent on ACE development progress.

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*TBD*

Agency Profile

Background	Joined ITDS:	March 2003	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	*40*
	SAFE Port Act of 2006 Agency:	Yes	Department:	Agriculture	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	*N/A*
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	19	Number of PGA Unique Requirements:	*6*
	Number of Business Processes:	15	Number of PGA LPCs:	5	Number of PGA Shared Requirements:	*233*

APHIS

Animal and Plant Health Inspection Service

Agency Mission

The Animal and Plant Health Inspection Service (APHIS) regulates the import and export of animals, plants, or their products. It conducts preclearance, arrival, and export inspections and can quarantine products. APHIS certifies foreign processing establishments and oversees treatments, such as fumigation and refrigeration. APHIS can prohibit entry of animals, plants, or products that:

- Do not meet health or phytosanitary standards;
- Do not have appropriate import permits from APHIS; or
- Do not have foreign government health or phytosanitary certifications.

APHIS establishes policy for and provides guidance to CBP officers and CBP agriculture specialists in their effort to prevent the entry of potential threats to American agriculture.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved – CBP legacy system (ACS) MOU signed in 1993
Data Memo of Understanding (MOU)		Draft of MOU submitted to OT/R&R, returned by OT/R&R with comments. APHIS revisions needed, Target date TBD.
Concept of Operations (CONOPS)		APHIS updated their ConOps based upon CBP review and the results of the April 2008 Deep Dive. ConOps (version 2.0) was re-submitted to CBP on 12/17/2008. CBP reviews were conducted on January 14 & 23, 2009. APHIS completed updates to their ConOps based on the January 2009 CBP reviews of version 2.0 and submitted version 3.0 on 6/18/2009.
ACE Release Operational MOU		APHIS has existing operational MOUs with CBP. APHIS to assess if revisions needed and initiate discussion with OT/R&R. Target date TBD.
Agency's Privacy Impact Assessment (PIA)		Assessment of need for PIA pending finalization of ConOps and Operational MOU.
System of Record Notice (SORN)		Assessment of need for SORN pending finalization of ConOps and Operational MOU.
Interconnect Security Agreement (ISA)		Assessment of need for ISA pending finalization of ConOps and Operational MOU.

Activity and Accomplishments

Activities:

1. APHIS updated their ConOps based upon CBP review and results of the April 2008 Deep Dive. ConOps (version 2.0) was re-submitted to CBP on 12/17/2008. CBP reviews were conducted on January 14 & 23, 2009.
2. APHIS completed updates to their ConOps based on January 2009 CBP reviews of version 2.0 and submitted version 3.0 to CBP on 6/18/2009.

Concerns, Risks, and Issues

APHIS (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q3 2008	√	Release Requirement Specifications submitted to CBP in 2007.
M4: Agree on business and system requirements for ACE with CBP.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Submitted to CBP October 10, 2007
M6: Agree on Concept of Operations with CBP.	Q2 2008	R	APHIS updated their ConOps based upon CBP review and the results of the April 2008 Deep Dive. ConOps (version 2.0) was re-submitted to CBP on 12/17/2008. CBP reviews were conducted on January 14 & 23, 2009. APHIS completed updates to their ConOps based on the January 2009 CBP reviews of version 2.0 and submitted version 3.0 on 6/18/2009.
M7: Submit completed MOU template to CBP.	Q1 2008	√	MOU template submitted to CBP
M8: Sign the appropriate MOU with CBP and other agencies.	Q3 2009	R	Negotiations with CBP OT/R&R are underway
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q3 2008	√	

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	A, L	Access activated Foreign Trade Zone information:	F
	Access importer, broker, and/or carrier account information:	A, L	Run ACE reports and/or data extracts:	A
	Access manifest and/or bond information:	F, L	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	F	Request CBP to place/remove holds on cargo and/or conveyances:	F, L
	Place/remove holds directly on cargo and/or conveyances:	F, L	Refer bill of lading information to CBP and/or other PGAs:	F, L
Cargo Arrival (Entry)	Entry augmented with agency data elements:	F	Automated determination of cargo admissibility:	F
	Validate agency entry data elements in ACE or agency system:	F	Support enforcement of agency license, permit, and/or certificate:	F
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	F	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	F
Targeting	Establish screening criteria:	A	View risk assessment and screening results:	A

Agency Profile

Background	Joined ITDS:	2002	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	124
	SAFE Port Act of 2006 Agency:	Yes	Department:	Agriculture	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	60
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	60	Number of PGA Unique Requirements:	*35*
	Number of Business Processes:	27	Number of PGA LPCs:	16	Number of PGA Shared Requirements:	*178*

ATF

Bureau of Alcohol, Tobacco, Firearms, and Explosives

Agency Mission

The Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) regulates the importation of arms, ammunition, implements of war, destructive devices, and explosives. ATF also requires appropriate licenses and permits for importation of some of these items, as specified by Federal laws. ATF regulates and requires a permit for the exportation of National Firearms Act (NFA) weapons. ATF conducts investigations into crimes involving the export of NFA weapons and the import of firearms and explosives.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved CBP legacy System (ACS) MOU signed 5/7/1993 negates the need for an ACE LOE.
Data Memo of Understanding (MOU)		Legacy MOU in place (1993) Draft MOU submitted March 2008 and under review by CBP and ATF; will be resubmitted by the end of October 2009
Concept of Operations (CONOPS)	√	In July 2009 CBP approved ATF ConOps submitted on April 3, 2009
ACE Release Operational MOU	Y	CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of need for PIA pending finalization of ACE interface requirements
System of Record Notice (SORN)		Assessment of need for SORN pending finalization of ACE interface requirements
Interconnect Security Agreement (ISA)		Assessment of need for ISA pending finalization of ACE interface requirements

Activity and Accomplishments

Activities:

1. In July 2009 CBP approved ATF ConOps submitted on April 3, 2009
2. Ongoing work to develop HTS crosswalk for HTS numbers subject to ATF regulations. Crosswalk now includes tabs for HTS Chapter 36 (Explosives), Chapter 93 (Firearms and Ammunition), US Munitions List, and US Code list of explosives and explosive devices. Work is final for Firearms and U S Munitions List, still pending for Explosives. Explosives SMEs completed initial review and their input will be incorporated into the crosswalk.
3. MOU with Appendix A and Appendix B is under internal ATF review and scheduled to be submitted to CBP by the end of October 2009.

Concerns, Risks, and Issues

Risks:

1. If ATF does not obtain funding to support the implementation of ATF unique Product Codes, as discussed at the Deep Dive, validation of permitted quantities by CBP will be at risk. Lack of funding to support either implementation of EForm6A or utilization of the PGA ABI message in lieu of Form 6A would also pose a risk to implementation of admissibility processes as defined in the ConOps.
2. ATF is unclear on the level and timing of ATF resources that will be required to support a more detailed system level requirements for determining admissibility of ATF commodities.

ATF (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q3 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q2 2007	√	ATF completed draft on Feb06; CBP OT review Dec 07, resubmit by Jan 31 2009
M6: Agree on Concept of Operations with CBP.	Q1 2008	√	In July 2009 CBP approved ATF ConOps submitted on April 3, 2009
M7: Submit completed MOU template to CBP.	Q1 2010	√	CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2010		Dependent on CBP completing its work on agency submitted MOU
M9: Information collections to reduce burden on the public consolidated or modified.	Q3 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q1 2010		TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	A	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	A	Run ACE reports and/or data extracts:	A
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	N/A	Request CBP to place/remove holds on cargo and/or conveyances:	F
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	F
Cargo Arrival (Entry)	Entry augmented with agency data elements:	F	Automated determination of cargo admissibility:	F
	Validate agency entry data elements in ACE or agency system:	F	Support enforcement of agency license, permit, and/or certificate:	F
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	N/A	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	N/A
Targeting	Establish screening criteria:	F	View risk assessment and screening results:	F

Agency Profile

Background	Joined ITDS:	February 2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	22
	SAFE Port Act of 2006 Agency:	Yes	Department:	Justice	Number of Active ACE Portal Users:	TBD
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	6	Number of PGA Unique Requirements:	5
	Number of Business Processes:	8	Number of PGA LPCs:	6	Number of PGA Shared Requirements:	217

BIS

Bureau of Industry and Security

Agency Mission

The Bureau of Industry and Security (BIS) regulates the export of sensitive goods and technologies, especially "dual use" items (goods that have both military and commercial application). BIS maintains the Export Control Classification Numbers on the Commerce Control List (CCL). This number helps exporters understand whether their exports require licensing. BIS issues export licenses (valid for 2 years) for select items on the CCL. Exporters apply for a license using either the BIS online system (Simplified Network Application Process Redesign (SNAP-R)).

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–expires on February 13, 2010
Data Memo of Understanding (MOU)	√	Legacy MOU is in place
Concept of Operations (CONOPS)		Export only - Milestone is dependent on pending revisions to the ACE Program Plan
ACE Release Operational MOU		Export only - Milestone is dependent on pending revisions to the ACE Program Plan
Agency's Privacy Impact Assessment (PIA)		Export only - Milestone is dependent on pending revisions to the ACE Program Plan
System of Record Notice (SORN)		Export only - Milestone is dependent on pending revisions to the ACE Program Plan
Interconnect Security Agreement (ISA)		Export only - Milestone is dependent on pending revisions to the ACE Program Plan

Activity and Accomplishments

Note:

- BIS is an export-only agency.

Concerns, Risks, and Issues

Concerns:

1. BIS does not currently have funding for ITDS activities. Therefore, BIS is postponing any ITDS activities indefinitely until BIS agency funding becomes available and approved to move forward with next steps. The ITDS program has not yet formally identified export related scope and schedule, so this has not become a program issue to date.

Clarification:

1. Regarding the Letter of Exchange, there is no BIS exec with authority as an authorizing official to commit BIS who has "agreed" to the terms and conditions of accepting responsibility and expenses associated with data loss in use of the CBP ACE system. BIS is working toward a definitive answer on this.

BIS (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.			Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M6: Agree on Concept of Operations with CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M7: Submit completed MOU template to CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M8: Sign the appropriate MOU with CBP and other agencies.			Milestone is dependent on pending revisions to the ACE Program Plan.
M9: Information collections to reduce burden on the public consolidated or modified.			Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.			Milestone is dependent on pending revisions to the ACE Program Plan.

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*N/A*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	February 2004	Agency Senior Official identified:	Yes	Number of Registered ACE Portal Users:	*1*
	SAFE Port Act of 2006 Agency:	Yes	Department:	Commerce	Number of Active ACE Portal Users:	*1*
	Import Safety Priority Agency:	No	Department Senior Official identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Export Control	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*TBD*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

BLS

Bureau of Labor Statistics

Agency Mission

The Bureau of Labor Statistics (BLS) compiles and publishes statistics and price indices on internationally-traded goods and services. BLS import and export price indices are used to adjust import and export trade values for inflation. BLS obtains entry summary data and importer names and addresses from CBP to compile import and export price indices for goods and services.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–BLS LOE expires on August 4, 2009
Data Memo of Understanding (MOU)	Y	Legacy MOU is in place. BLS provided CBP comments to MOU 06/07 and is awaiting OT/R&R response. BLS is not restricted by HTS and will not require Appendix B.
Concept of Operations (CONOPS)	√	Approved October 2006
ACE Release Operational MOU		CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of the need for a PIA is pending finalization of Operational MOU
System of Record Notice (SORN)		TBD by CBP
Interconnect Security Agreement (ISA)		Assessment of the need for an ISA is pending finalization of ConOps and Operational MOU

Activity and Accomplishments

1. BLS MOU is pending CBP OT/R&R action. Discussions between ITDS MST, BLS and OT/R&R are ongoing

Concerns, Risks, and Issues

Concerns:

1. BLS is awaiting comments from CBP OT/R&R regarding BLS comments to MOU since June2007.

BLS (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q1 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q1 2008	√	Completed
M3: Submit business and system requirements for ACE to CBP.	Q1 2008	√	Completed
M4: Agree on business and system requirements for ACE with CBP.	Q1 2009	√	Completed
M5: Submit Concept of Operations to CBP.	Q2 2006	√	Completed
M6: Agree on Concept of Operations with CBP.	Q1 2007	√	Completed
M7: Submit completed MOU template to CBP.	Q2 2007	√	Completed
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2008	R	BLS provided draft MOU in June 2007 CBP OT/R&R review still pending;.
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q1 2010		TBD

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile						
Background	Joined ITDS:	September 2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	3
	SAFE Port Act of 2006 Agency:	No	Department:	Labor	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	N/A	Number of PGA Unique Requirements:	0
	Number of Business Processes:	2	Number of PGA LPCs:	N/A	Number of PGA Shared Requirements:	98

BTS

Bureau of Transportation Statistics

Agency Mission

The Bureau of Transportation Statistics (BTS), within the Research and Innovative Technology Administration of the Department of Transportation (DOT), collects, compiles, and publishes comprehensive monthly and annual transportation statistics covering all modes of transportation. BTS performs research and prepares statistics and reports on the volume and geography of international trade on the Nation's transportation systems.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	DOT – None – LOE approved 13 February 2009
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	Approved by CBP July 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	√	DOT – None - Finalized In May 2008
System of Record Notice (SORN)	√	DOT – None - Finalized in April 2008; published in Federal Register.
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of DOT Operational MOU

Activity and Accomplishments

Activities:

1. Letter of Exchange approved February 13, 2009 by CBP and is effective for one year.
2. Business Case written for "Near Term Opportunity".
3. Agency reviewing materials provided by ITDS for preparation of Data MOU.

Concerns, Risks, and Issues

BTS (continued)

ITDS e-Government Scorecard

Milestone		Date	?	Responsible Party — Next Action — Target Date
M1:	Dates for assessment of performance measures described in plan.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2:	Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3:	Submit business and system requirements for ACE to CBP.	Q3 2008	√	Submitted
M4:	Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5:	Submit Concept of Operations to CBP.	Q1 2008	√	Submitted
M6:	Agree on Concept of Operations with CBP.	Q4 2008	√	Agreement reached
M7:	Submit completed MOU template to CBP.	Q1 2009	√	Submitted
M8:	Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP OT/R&R – Review/Approval - TBD
M9:	Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10:	Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	2001	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	3
	SAFE Port Act of 2006 Agency:	No	Department:	Transportation	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	N/A	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	1	Number of PGA LPCs:	N/A	Number of PGA Shared Requirements:	*TBD*

CDC

Centers for Disease Control and Prevention

Agency Mission

The international trade- related mission of the Centers for Disease Control and Prevention (CDC) is to prevent the introduction, transmission and spread of communicable diseases from foreign countries into the United States. CDC regulates imports that can potentially cause disease in humans and requires permits for etiological (disease-causing) agents, biological materials, and certain animals and animal products.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		Approved – expires June 29, 2010.
Data Memo of Understanding (MOU)		CDC – Submit agency data MOU.
Concept of Operations (CONOPS)		CDC – Provide agency-approved ConOps to CBP.
ACE Release Operational MOU		CBP – Prepare ACE Release Operational MOU template.
Agency's Privacy Impact Assessment (PIA)		Assessment of need for PIA pending finalization of ACE interface requirements.
System of Record Notice (SORN)		Assessment of need for SORN pending finalization of ACE interface requirements.
Interconnect Security Agreement (ISA)		Assessment of need for ISA pending finalization of ACE interface requirements.

Activity and Accomplishments

Activities:

1. MST iTDS met with Division of Global Migration and Quarantine (DGMQ) March 19 and 20 to validate business processes and determine data and product code needs.
2. Met with Division of Select Agents and Toxins (DSAT) on March 31 to validate business processes.
3. Established a standing weekly call with CDC (DGMQ and DSAT) to cover all aspects required to get to agency-approved ConOps and get CDC integrated into ACE.
4. Validated As Is and To Be Business process for DSAT and DGMQ as well as initial SDS and HTS mappings during March on-site meetings.
5. CDC submitted Letter of Exchange to CBP April 20, 2009.
6. CDC validated initial data mapping to SDS by April 31, 2009 deadline.
7. Ongoing discussion underway regarding identification of additional CDC HTS code needs. Coordinating with PGAs that may have joint jurisdiction (FWS, APHIS).
8. Held successful ConOps development sessions via video conference June 22-24.
9. CBP approved CDC Letter of Exchange for CDC. It is valid through June 29, 2010.
10. Provided CDC with final draft version of ConOps on July 17, 2009 to initiate agency review before sending it though CDC internal approval process.

Concerns, Risks, and Issues

Concerns:

1. Target date of Q2 2009 for ConOps may need to change as the Division of Global Migration and Quarantine (DGMQ), one of two CDC organizational entities with import safety responsibility, only reengaged with ITDS as of February 12.
2. HTS may not cover all of CDC's commodity reporting needs so that new HTS code/s may need to be developed with ITC.
3. CDC to develop plan to add users to ACE portal and begin user account creation process.

CDC (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q1 2012		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q3 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q3 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q4 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q2 2009	R	CDC – Prepare content for ConOps – Q2 2009.
M6: Agree on Concept of Operations with CBP.	Q3 2009	R	
M7: Submit completed MOU template to CBP.	Q3 2009	R	
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2009		
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2008	R	

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*F*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile						
Background	Joined ITDS:	June 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	Yes	Department:	Health and Human Services	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	3	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*4*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

CENSUS
Census Bureau

Agency Mission

The U.S. Census Bureau collects merchandise import and export data from various sources in order to compile the official U.S. merchandise trade statistics. The data are used for research and planning, economic analysis, analysis of trade and transportation flows and trends, and transportation infrastructure planning, as well as for assessing the effectiveness of U.S. trade policies and agreements. The Census Bureau also currently maintains the algorithms that "validate" data in the Automated Export System (AES) and the Automated Broker Interface (ABI) to ensure that the data are accurate and complete and will continue to perform this function after the transition to ACE. For example, the Census Bureau will check to ensure that reported information is reasonable (for example, by confirming that prices are within reasonable ranges).

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–CBP legacy system (AES) MOU signed in 1997. ACS MOU signed in 1998.
Data Memo of Understanding (MOU)		CBP - Review revised draft submitted September 30, 2008 – Target date 3Q '09
Concept of Operations (CONOPS)	√	Approved on January 4, 2008
ACE Release Operational MOU	Y	CBP - Review revised draft submitted September 30, 2008 – Target date 3Q '09 (See Concern #2 Below)
Agency's Privacy Impact Assessment (PIA)	√	Trade Statistics PIA (which covers ACE) was signed March 11, 2008
System of Record Notice (SORN)		Department of Commerce – Review SORN submitted by Census Bureau. – Target date TBD
Interconnectivity Security Agreement (ISA)	√	Signed by CBP on February 19, 2009

Activity and Accomplishments

Activities:

1. On 6/24/09 GIF 886 was revised from adding two data elements to the ACE Entry Summary Extract that Census receives, to adding just one (Release Date). First Sale was dropped since the legislation was only through August and it's unlikely that this element could be added before then, so the GIF revised. The revised GIF has been approved by the CBP CCB board for next steps (costing).
2. On 6/26/09 Census provided ITDS/MST a list of folks for whom they would like ACE portal desk side support. ITDS/MST is reviewing that list against other lists, to be able to collect any missing required security documentation from Census users and getting them access to the ACE portal and LMS accordingly.
3. On 7/29/09 Census and ESAR/MST kicked off A2.3.2 requirements meetings regarding Post Summary Correction and Reconciliation Entry Summary. Additional meetings to follow.

Concerns, Risks, and Issues

Concern:

1. Census understands that the requirement for Automated Invoice View functionality (for Census to view Invoice data via the ACE portal) is not currently slated in any ACE releases. Census believes the capability to view any electronic invoices should be included in ACE. This functionality would help Census: 1) provide secure access to entry summary supporting documentation, 2) reduce time consuming phone, fax and email communication with the Trade, and 3) provide supporting documentation to verify entry summary data.
2. Census is operating under the assumption that it will not need an Operational MOU for A2.2 but wants to make sure everyone is in agreement.

CENSUS (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.		√	Completed
M3: Submit business and system requirements for ACE to CBP.		√	Completed
M4: Agree on business and system requirements for ACE with CBP.		√	Completed
M5: Submit Concept of Operations to CBP.		√	Completed
M6: Agree on Concept of Operations with CBP.		√	Signed by CBP
M7: Submit completed MOU template to CBP.	Q3 2008	√	(see 'legal' status, first slide)
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	(see 'legal' status, first slide)
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q2 2009	R	Minimal rulemaking or investment anticipated

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*F*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*F*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile

Background	Joined ITDS:	1995	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	32
	SAFE Port Act of 2006 Agency:	No	Department:	Commerce	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	0	Number of PGA Unique Requirements:	*13*
	Number of Business Processes:	19	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	*158*

CPSC

Consumer Product Safety Commission

Agency Mission

The Consumer Product Safety Commission (CPSC) protects the public from harm from more than 15,000 types of consumer products under the agency's jurisdiction. CPSC has the authority to ban the importation of products that do not comply with CPSC regulations and to order recalls of unsafe products. Products under CPSC's jurisdiction include cribs, toys, coffee makers, hair dryers, lawn mowers, chain saws, furnaces, water heaters, bicycle helmets, and household chemicals (specific items that are excluded from CPSC's authority are food, drugs, cosmetics, medical devices, tobacco products, firearms and ammunition, motor vehicles, pesticides, aircraft, boats, and fixed site amusement rides).

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		N/A – CPSC has an ACS Data MOU from 2002.
Data Memo of Understanding (MOU)	√	CPSC submitted Data MOU on June 24, 2009. CBP is reviewing.
Concept of Operations (CONOPS)	√	CSPC submitted and reviewed by CBP on November 12, 2008. Pending revisions and internal agency approval process and sign off. Submitted revised ConOps to CPSC on April 30, 2009. Unknown when agency internal approval will occur due to changes to operational scenarios that are still being worked on.
ACE Release Operational MOU	TBD	CBP – CPSC working with CBP on Operational MOU. Anticipate having this completed and submitted to CBP no later than beginning of the fiscal year.
Agency's Privacy Impact Assessment (PIA)	N/A	Per ConOps: No new information will be created that contains any personally identifiable information.
System of Record Notice (SORN)	TBD	TBD. CPSC is in the requirements phase for building the IFS (Integrated Field System) interface to ACE.
Interconnect Security Agreement (ISA)	√	New ISA submitted to CBP on April 30, 2009. Still waiting for CBP to sign ISA.

Activity and Accomplishments

- CPSC is currently revising Seizure and Detention Processes with CBP. Anticipate changes to ConOps based on these Operational changes.

Concerns, Risks, and Issues

- Target date for internal agency approval of ConOps is unknown, as CPSC is in the process of working with CBP in regard to changes to some operational processes.

CPSC (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan	Q4 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP	Q3 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP	Q3 2008	√	CPSC submitted initial ConOp to CBP on October 1, 2008.
M6: Agree on Concept of Operations with CBP	Q4 2008	R	Delayed CBP approval as changes needed to be made to ConOp. A revised ConOp is going through an internal review. New target date for agency approved ConOps is unknown at this time.
M7: Submit completed MOU to CBP	Q3 2008	√	CPSC submitted Appendices A and C and additional HTS jurisdictional mappings to OT/OR&R on June 24 2009.
M8: Sign the appropriate MOU with CBP and other agencies	Q1 2009	R	CPSC submitted to CBP on June 24, 2009. Waiting for CBP approval and sign off.
M9: Information collections to reduce burden on the public consolidated or modified	Q4 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009	Q4 2008	√	CPSC drafted plan to update required rulemakings, capital planning, investment control processes.

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	A	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	A	Run ACE reports and/or data extracts:	A
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	F	Request CBP to place/remove holds on cargo and/or conveyances:	F
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	F
Cargo Arrival (Entry)	Entry augmented with agency data elements:	F	Automated determination of cargo admissibility:	F
	Validate agency entry data elements in ACE or agency system:	F	Support enforcement of agency license, permit, and/or certificate:	F
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	NA	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	NA
Targeting	Establish screening criteria:	F	View risk assessment and screening results:	F

Agency Profile

Background	Joined ITDS:	April 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	23
	SAFE Port Act of 2006 Agency:	Yes	Department:	Independent	Number of Active ACE Portal Users:	23
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	N/A	Number of ATS Users:	0
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	3	Number of PGA Unique Requirements:	0
	Number of Business Processes:	8	Number of PGA LPCs:	TBD	Number of PGA Shared Requirements:	127

DCMA

Defense Contract Management Agency

Agency Mission

The Defense Contract Management Agency (DCMA) is an independent combat support agency within the Department of Defense (DOD). As DOD's contract manager, DCMA is responsible for ensuring Federal Acquisition programs (systems, supplies, and services) are delivered on time, within projected cost or price, and that they meet performance requirements. DCMA works closely with CBP to facilitate the import and export of DOD contracts' related articles and services.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	Y	DCMA – Submit LOE to CBP – 30 Sep 2009
Data Memo of Understanding (MOU)	Y	DCMA – Submit Data Appendix to CBP – 30 Sep 2009
Concept of Operations (CONOPS)	Y	DCMA – Complete Functional Assessment – 31 August 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	Y	DCMA – Determine need for PIA – 30 Sep 2009
System of Record Notice (SORN)	Y	DCMA – Determine need for SORN – 30 Sep 2009
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of DCMA's ConOps and Operational MOU

Activity and Accomplishments

Accomplishments:

1. DCMA is currently working to complete the Functional Assessment. Agency projected to have FA completed by 8/31.

Concerns, Risks, and Issues

Issue:

1. DCMA has not provided OMB milestone plan per Karen Evans memo.

DCMA (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.			Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.			Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.			Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.			
M6: Agree on Concept of Operations with CBP.			
M7: Submit completed MOU template to CBP.			
M8: Sign the appropriate MOU with CBP and other agencies.			
M9: Information collections to reduce burden on the public consolidated or modified.			Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize iTDS by 2009.			

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*TBD*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*TBD*	Request CBP to place/remove holds on cargo and/or conveyances:	*TBD*
	Place/remove holds directly on cargo and/or conveyances:	*TBD*	Refer bill of lading information to CBP and/or other PGAs:	*TBD*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*TBD*	Automated determination of cargo admissibility:	*TBD*
	Validate agency entry data elements in ACE or agency system:	*TBD*	Support enforcement of agency license, permit, and/or certificate:	*TBD*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*TBD*	Government entry processing:	*TBD*
	Anti-dumping/countervailing duty summary processing:	*TBD*	Foreign Trade Zone entry processing:	*TBD*
Targeting	Establish screening criteria:	*TBD*	View risk assessment and screening results:	*TBD*

Agency Profile						
Background	Joined iTDS:	June 2008	Agency Senior Official Identified:	*Yes*	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	*TBD*	Department:	Defense	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	*TBD*	Department Senior Official Identified:	*Yes*	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*TBD*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

DDTC

Directorate of Defense Trade Controls

Agency Mission

The Directorate of Defense Trade Controls (DDTC) is charged with controlling the exportation and temporary importation of defense articles and defense services covered by the U.S. Munitions List (USMIL). As part of this process, DDTC licenses exports of defense articles and services. DDTC works closely with CBP in the review of defense industry registrations and in the areas of the performance of defense export end-use checks, investigations, and civil penalties.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved--DDTC LOE expires on August 28, 2009
Data Memo of Understanding (MOU)	R	Completed SDS Data Element worksheet on 6/30/09 and are drafting Product Information (Appendix B) for the US Munitions List (USMIL).
Concept of Operations (CONOPS)	R	None submitted,
ACE Release Operational MOU		CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of the need for a PIA is pending finalization of ConOps and Operational MOU
System of Record Notice (SORN)		TBD by CBP
Interconnect Security Agreement (ISA)		Assessment of the need for an ISA is pending finalization of ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. Department of State (A/LM, DS/OFM, DDTC, OES): DS/OFM meeting TBD among all PGAs within State Department to discuss DS/OFM plans and explore possibilities to leverage common practices across State Department agencies.

Concerns, Risks, and Issues

Concerns:

1. U S Munitions List does not have a crosswalk to HTS code, which presents an issue for identifying the DDTC commodities and automating the admissibility of cargo release. Work is has been completed to develop a crosswalk of this data for ATF which should also apply to DDTC in many cases.
2. DDTC shipments may be subject to dual admissibility, and it is unclear which PGA/OGAs (e.g., ATF, DCMA) participate and what each PGAs role is in the admissibility process.

DDTC (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	1Q 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	1Q 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	1Q 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	2Q 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	2Q 2008	R	None submitted
M6: Agree on Concept of Operations with CBP.	3Q 2008	R	None submitted
M7: Submit completed MOU template to CBP.	3Q 2008	R	DDTC submitted DRAFT Appendix A to CBP on June 17, 2009
M8: Sign the appropriate MOU with CBP and other agencies.	4Q 2008	R	None submitted
M9: Information collections to reduce burden on the public consolidated or modified.	1Q 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	2Q 2009	R	TBD

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone Information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*TBD*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*TBD*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*TBD*	View risk assessment and screening results:	*N/A*

Agency Profile						
Background	Joined ITDS:	November 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	Yes	Department:	State	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	2	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	2	Number of PGA LPCs:	1	Number of PGA Shared Requirements:	*TBD*

DEA

Drug Enforcement Agency

Agency Mission

The Drug Enforcement Agency (DEA) regulates the import and export of legitimate and licit controlled substances and listed chemicals that may be used to manufacture controlled substances. The DEA also restricts the import and export of illegitimate and illicit controlled substance and listed chemicals. The DEA issues import and export permits for certain controlled substances and monitors those movements.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved – CBP legacy system (ACS) MOU signed 1/11/1978
Data Memo of Understanding (MOU)		CBP provided DEA with MOU on 1/7/08. DEA provided CBO comments and had a meeting among Legal Councils on 2/13/08. DEA assigned lawyer to MOU.
Concept of Operations (CONOPS)	√	Agency approved ConOps submitted Nov 28, 2008. CBP OT and OFO were briefed Jan 8, 2009, DEA completed review of CBP comments, prepared revised process flow, and is obtaining agency approval prior to the resubmission to CBP.
ACE Release Operational MOU		CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of the need for a PIA is pending finalization of ConOps and Operational MOU
System of Record Notice (SORN)		TBD by CBP
Interconnect Security Agreement (ISA)		Assessment of the need for an ISA is pending finalization of ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. DEA is currently reviewing and validating the agency's Standard Data Set data mapping.
2. Agency approved ConOps submitted to ITDS/CBP on November 28, 2008 and reviewed with CBP on Jan 8, 2009. Revised ConOps based on Jan 8 review is being developed and will be submitted as soon as agency review and approval is completed.
3. Initial version of HTS crosswalk of HTS numbers subject to DEA regulations for Controlled Substances, Precursor Chemicals, and Listed Chemicals has been developed. Additional work is required, but meeting has not been scheduled yet. Updated HTS Crosswalk submitted to DEA May 19, 2009. DEA will complete draft Product Information (Appendix B) for Controlled Substances and List I & II Chemicals by September 2009.

Concerns, Risks, and Issues

Concerns:

DEA (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	2Q 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2009	√	ConOps was briefed to CBP Jan. 8, 2009, CBP changes incorporated, and pending DEA approval.
M6: Agree on Concept of Operations with CBP.	Q1 2009	R	Dependent on CBP
M7: Submit completed MOU template to CBP.	Q4 2008	√	Original MOU submitted 1/7/2008, DEA to submit a revised version
M8: Sign the appropriate MOU with CBP and other agencies.	Q1 2009	R	Dependent on CBP approval of MOU when it is resubmitted
M9: Information collections to reduce burden on the public consolidated or modified.	Q1 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q3 2009		TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	AN	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	AN	Run ACE reports and/or data extracts:	AN
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	TBD	Request CBP to place/remove holds on cargo and/or conveyances:	F
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	F
Cargo Arrival (Entry)	Entry augmented with agency data elements:	F	Automated determination of cargo admissibility:	F
	Validate agency entry data elements in ACE or agency system:	F	Support enforcement of agency license, permit, and/or certificate:	F
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	N/A	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	TBD
Targeting	Establish screening criteria:	F	View risk assessment and screening results:	F

Agency Profile

Background	Joined ITDS:	March 2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	Yes	Department:	Justice	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	4	Number of PGA Unique Requirements:	0
	Number of Business Processes:	5	Number of PGA LPCs:	4	Number of PGA Shared Requirements:	72

DS/OFM

Bureau of Diplomatic Security, Office of Foreign Missions

Agency Mission

The Bureau of Diplomatic Security, Office of Foreign Missions (DS/OFM) authorizes duty-free treatment for shipments consigned to foreign missions, international organizations, and their members. All shipments to foreign diplomats and missions must be cleared using the diplomatic customs clearance procedure, currently via the Department of State entry form DS-1504 (Request for Customs Clearance and Merchandise).

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved – expires on February 15, 2009
Data Memo of Understanding (MOU)		OFM provided CBP comments to MOU on 6/03/08 in conjunction with OFM's Agency-approved ConOps
Concept of Operations (CONOPS)	√	In July 2009 CBP approved DS/OFM ConOps submitted on April 3, 2009
ACE Release Operational MOU		CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of the need for a PIA is pending finalization of ConOps and Operational MOU
System of Record Notice (SORN)		TBD by CBP
Interconnect Security Agreement (ISA)		Assessment of the need for an ISA is pending finalization of ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. Pursuing shared business processes between DS/OFM and A/LM and potentially other DOS PGAs.
2. Department of State (A/LM, DS/OFM, DDTC, OES): DS/OFM meeting TBD among all PGAs within State Department to discuss DS/OFM plans and explore possibilities to leverage common practices across State Department agencies
3. Agency approved ConOps submitted to ITDS/CBP on Nov 20, review by CBP was held Jan 15th and ConOps was referred to CBP International Affairs for their review. Comments by CBP OCIO are still being reviewed. Final comments submitted April 3, 2009. DS/OFM comments and ConOps accepted by CBP April 7, 2009. DS/OFM ConOps approved for Blue Sheet process April 16, 2009. In July 2009 CBP approved DS/OFM ConOps submitted on April 3, 2009

Concerns, Risks, and Issues

Concerns:

1. Diplomatic shipments are also subject to other PGA importation regulations. ITDS is working with other PGAs to define the PGAs' requirements for diplomatic shipments.
2. Concern – LOE expired on February 15, 2009

DS/OFM (continued)

ITDS e-Government Scorecard

Milestone		Date	?	Responsible Party — Next Action — Target Date
M1:	Dates for assessment of performance measures described in plan.	3Q 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M2:	Complete the definition of business and system requirements for agency systems.	1Q 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M3:	Submit business and system requirements for ACE to CBP.	1Q 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4:	Agree on business and system requirements for ACE with CBP.	1Q 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5:	Submit Concept of Operations to CBP.	3Q 2008	√	DS/OFM submitted draft Data MOU on June 30, resubmitted with revisions Nov 20
M6:	Agree on Concept of Operations with CBP.	4Q 2008	√	CBP completed review April 7, 2009
M7:	Submit completed MOU template to CBP.	1Q 2009	√	DS/OFM submitted draft Data MOU on June 30, 2008
M8:	Sign the appropriate MOU with CBP and other agencies.	2Q 2009	R	CBP OT/R&R review pending.
M9:	Information collections to reduce burden on the public consolidated or modified.	3Q 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M10:	Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	1Q 2010		TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*F*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*F*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*F*	View risk assessment and screening results:	*F*

Agency Profile

Background	Joined ITDS:	June 2006	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	9
	SAFE Port Act of 2006 Agency:	Yes	Department:	State	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	1	Number of PGA Unique Requirements:	*1*
	Number of Business Processes:	7	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	*207*

EIA

Office of Oil and Gas – Energy Information Administration

Agency Mission

The Energy Information Administration (EIA) is the statistical agency of the Department of Energy. EIA issues a wide range of weekly, monthly, and annual reports on energy production, stocks, demand, imports, exports, and prices and prepares analyses and special reports on topics of current interest.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	Y	EIA – Submit LOE to CBP – 30 September 2009
Data Memo of Understanding (MOU)	Y	EIA – Completion of Data MOU template – 30 September 2009
Concept of Operations (CONOPS)	Y	EIA – Approve "To-Be" Business Processes – 31 August 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	Y	EIA – Determine need for PIA – 30 September 2009
System of Record Notice (SORN)	Y	EIA – Determine need for SORN – 30 September 2009
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of EIA's ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. Agency has completed data mapping.

Concerns, Risks, and Issues

None

EIA (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q1 2012		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q2 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q3 2011		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q2 2009	R	EIA – Complete ConOps – 30 September 2009
M6: Agree on Concept of Operations with CBP.	Q3 2009		EIA – Agree on ConOps – 30 September 2009
M7: Submit completed MOU template to CBP.	Q2 2010		EIA – Complete MOU Template – 30 Sep 2009
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2010		EIA – Sign MOU – 31 Dec 2009
M9: Information collections to reduce burden on the public consolidated or modified.	Q1 2012		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2008	R	

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile						
Background	Joined ITDS:	April 2008	Agency Senior Official identified:	*Yes*	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Energy	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	*2*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*4*	Number of PGA LPCs:	N/A	Number of PGA Shared Requirements:	*TBD*

EPA

Environmental Protection Agency

Agency Mission

The Environmental Protection Agency (EPA) regulates importation of pesticides, ozone depleting substances, certain industrial chemical substances and mixtures, vehicles and engines, fuels, and hazardous wastes. Exports are regulated to a lesser extent. Four of these programs (Toxic Substances, Pesticides, Hazardous Waste, and Ozone Depleting Substances) also have export requirements. Currently, CBP collects import documentation required by EPA, such as the EPA Importation Declaration Forms for vehicles and engines and Toxic Substances Control Act certification statements. EPA performs follow-up inspections and investigative activities and takes enforcement actions.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–CBP legacy system (ACS) MOU signed in 2003
Data Memo of Understanding (MOU)		EPA—Complete draft MOU—Q2 '09. EPA is working with OT/R&R to resolve several issues for the next draft of the MOU slated for Q3 FY 2009. Final Data MOU — Target Date TBD
Concept of Operations (CONOPS)	√	CBP — EPA briefed ConOps with CBP on 7/8/09 and is awaiting questions and comments - TBD
ACE Release Operational MOU		EPA—EPA internal discussions have begun. Once the CONOPS is in review by CBP, EPA will start discussions with CBP and prepare a draft Operational MOU. Waiting on Operational MOU template. —Target Date TBD
Agency's Privacy Impact Assessment (PIA)	√	CBP— Review EPA PIA submitted to CBP OT/R&R in May 2008. Based on CBP OT/R&R input from the PIA review, EPA is updating the PIA – Q4 FY 2009
System of Record Notice (SORN)	√	EPA completed the development of an action plan for the SORN in May 2008. Based on updated PIA, a SORN may be required — Target Date TBD
Interconnect Security Agreement (ISA)		EPA & CBP — EPA and CBP to discuss and identify realistic schedule tied to development efforts. —Target Date TBD

Activity and Accomplishments

Activities:

1. ConOps review with CBP held 7/8/09.
2. Based on CBP OT/R&R and Agency program input, EPA is preparing a revised draft of the Data MOU. Appendix A will be included per CBP instructions
3. Once the revised CONOPS is in review by CBP and the draft Data MOU has been submitted, EPA and CBP development team are planning a pilot message exchange between CBP and EPA using web services.
4. Once the revised CONOPS is in review by CBP and the draft Data MOU has been submitted, EPA will start working on the Operational MOU.

Concerns, Risks, and Issues

Issues:

1. **Schedule for M2.3 Release/Planning/Budgeting**. Because the current M2.3 schedule is not known, we have to assume that it will be pushed back to late 2010 or 2011 for our budgeting and work planning purposes. We need input from CBP on the schedule so we can budget/plan accordingly.
2. **Electronic signature**. EPA continues to evaluate the technical requirements for electronic signature in ABI/ACE and shall work with CBP to determine how to best meet these requirements. EPA awaiting CBP response on CROMERR rule and applicability to ACE from CBP's OR&R.
3. **Funding**. EPA had ACE/ITDS implementation funding in its FY09 President's budget but no funding in its FY08 base. EPA is receiving partial funding for FY09 and is prioritizing activities that can be completed with that funding.

Risk:

1. Partial FY2009 funding for EPA means that ACE/ITDS integration work by EPA will need to be prioritized.

EPA (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Submitted the initial CONOPS in December 2007 –Based on the CONOPS sessions, EPA submitted a revised CONOPS on 4/24/09
M6: Agree on Concept of Operations with CBP.	Q1 2010		CBP – EPA has briefed CBP and is awaiting questions and comments - TBD
M7: Submit completed MOU template to CBP.	Q1 2010		EPA – EPA is finalizing draft based on latest guidance and will begin discussions soon with CBP
M8: Sign the appropriate MOU with CBP and other agencies.	Q1 2010		EPA – Once the CONOPS is in review by CBP, EPA will start discussions with CBP and prepare a draft Operational MOU – Target Date TBD
M9: Information collections to reduce burden on the public consolidated or modified.	TBD		Milestone depends on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	TBD		EPA – EPA is engaged with CBP OR&R to initiate rulemaking – Target Date TBD

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	A	Access activated Foreign Trade Zone information:	F
	Access importer, broker, and/or carrier account information:	A	Run ACE reports and/or data extracts:	A
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	N/A	Request CBP to place/remove holds on cargo and/or conveyances:	F
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	F
Cargo Arrival (Entry)	Entry augmented with agency data elements:	F	Automated determination of cargo admissibility:	F
	Validate agency entry data elements in ACE or agency system:	F	Support enforcement of agency license, permit, and/or certificate:	F
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	NA	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	NA
Targeting	Establish screening criteria:	F	View risk assessment and screening results:	F

Agency Profile

Background	Joined ITDS:	February 2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	7
	SAFE Port Act of 2006 Agency:	Yes	Department:	Independent	Number of Active ACE Portal Users:	TBD
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	N/A	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	4	Number of PGA Unique Requirements:	9
	Number of Business Processes:	12	Number of PGA LPCs:	TBD	Number of PGA Shared Requirements:	227

FAA
Federal Aviation Administration

Agency Mission
The Federal Aviation Administration (FAA) enforces regulations governing the transportation of hazardous materials by air.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	Approved by CBP July 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	√	DOT – None - Finalized in May 2008
System of Record Notice (SORN)	√	DOT – None - Finalized in April 2008; published in Federal Register.
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of DOT Operational MOU

Activity and Accomplishments	Concerns, Risks, and Issues
1. Agency reviewing materials provided by ITDS for preparation of Data MOU.	

FAA (continued)

ITDS e-Government Scorecard

Milestone		Date	?	Responsible Party — Next Action — Target Date
M1:	Dates for assessment of performance measures described in plan.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2:	Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3:	Submit business and system requirements for ACE to CBP.	Q3 2008	√	Submitted
M4:	Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5:	Submit Concept of Operations to CBP.	Q1 2008	√	Submitted
M6:	Agree on Concept of Operations with CBP.	Q4 2008	√	Agreement reached
M7:	Submit completed MOU template to CBP.	Q1 2009	√	Submitted
M8:	Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP OT/R&R – Review/Approval - TBD
M9:	Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10:	Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	AN	Access activated Foreign Trade Zone information:	N/A
	Access importer, broker, and/or carrier account information:	AN	Run ACE reports and/or data extracts:	AN
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	N/A	Request CBP to place/remove holds on cargo and/or conveyances:	N/A
	Place/remove holds directly on cargo and/or conveyances:	N/A	Refer bill of lading information to CBP and/or other PGAs:	N/A
Cargo Arrival (Entry)	Entry augmented with agency data elements:	N/A	Automated determination of cargo admissibility:	N/A
	Validate agency entry data elements in ACE or agency system:	N/A	Support enforcement of agency license, permit, and/or certificate:	N/A
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	N/A	Government entry processing:	N/A
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	N/A
Targeting	Establish screening criteria:	N/A	View risk assessment and screening results:	N/A

Agency Profile

Background	Joined ITDS:	2005	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Transportation	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Enforcement	Number of PGA Forms:	18	Number of PGA Unique Requirements:	TBD
	Number of Business Processes:	1	Number of PGA LPCs:	TBD	Number of PGA Shared Requirements:	TBD

FAS

Foreign Agriculture Service

Agency Mission

The Foreign Agricultural Service (FAS) administers Tariff Rate Quotas for sugar and dairy imports. FAS issues import licenses for sugar and dairy products. The dairy licenses are processed electronically through FAS's Dairy Accelerated Importer Retrieval and Information Exchange System and the sugar licenses through the Sugar Users Group Accounting and Reporting System. Sugar tariff-rate quota entries are processed via paper forms. FAS administers HTS General Note 15 letters of waiver from agricultural import quotas, an export sales reporting program for certain agricultural commodities and economic safeguards for imports of agricultural products.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–CBP legacy system (ACS) MOU signed in 1991
Data Memo of Understanding (MOU)		
Concept of Operations (CONOPS)		FAS has completed an in depth review of their As-Is Business Processes and diagrams for these processes have been incorporated into the FAS draft ConOps. Development of To-Be Business Processes is under way.
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)		Assessment of need for PIA pending finalization of ConOps and Operational MOU.
System of Record Notice (SORN)		Assessment of need for SORN pending finalization of ConOps and Operational MOU.
Interconnect Security Agreement (ISA)		Assessment of need for ISA pending finalization of ConOps and Operational MOU.

Activity and Accomplishments

Activities:

1. FAS completed Functional Assessment September 20, 2007.
2. ITDS and FAS completed the Data Collection workshop on February 28, 2008.
3. FAS submitted the "As Is" Business Processes on March 18, 2008.
4. FAS finalized their Standard Data Set and submitted it to the ITDS Data Harmonization Team on April 8, 2009
5. In February 2009 FAS initiated an in-depth review of previously submitted As-Is Business Processes. The review was completed on June 2, 2009.

Concerns, Risks, and Issues

FAS (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
Dates for assessment of performance measures described in plan.	Q1 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
Complete the definition of business and system requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
Submit business and system requirements for ACE to CBP.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
Submit Concept of Operations to CBP.	Q1 2009	R	
Agree on Concept of Operations with CBP.	Q2 2009	R	
Submit completed MOU template to CBP.	Q3 2009	R	
Sign the appropriate MOU with CBP and other agencies.	Q4 2009		
Information collections to reduce burden on the public consolidated or modified.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q3 2008	R	

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*A, L*	Access activated Foreign Trade Zone information: (Export Only for FAS)	*F*
	Access importer, broker, and/or carrier account information:	*A, L*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*N/A*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*L, F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*L, F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*L, F*	Government entry processing: (General Note 15 of HTS for FAS)	*F*
	Anti-dumping/countervailing duty summary processing:	*F*	Foreign Trade Zone entry processing: (Export only for FAS)	*F*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile						
Background	Joined ITDS:	May 2007	Agency Senior Official Identified:	No	Number of Registered ACE Portal Users:	7
	SAFE Port Act of 2006 Agency:	Yes	Department:	Agriculture	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	*Regulatory*	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*6*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

FCC

Federal Communications Commission

Agency Mission

The Federal Communications Commission (FCC) ensures that finished products, as well as products assembled from parts shipped into the Unites States, conform to FCC-prescribed emissions parameters to ensure that these products do not interfere with licensed radio communications. The FCC requires importers to complete FCC Form 740 (Statement Regarding the Importation of Radio Frequency Devices Capable of Causing Harmful Interference) or file equivalent information as part of an electronic submission of entry summary data.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–CBP legacy system (ACS) MOU signed 4/2/1992
Data Memo of Understanding (MOU)		Legacy MOU is in place. FCC is awaiting a new version of CBP ACE MOU template. FCC DRAFT Product information (Appendix B) received on 6/30/09
Concept of Operations (CONOPS)	√	Approved February 2007
ACE Release Operational MOU		CBP OT/R&R draft template published in July 2009 has not been distributed to the PGAs
Agency's Privacy Impact Assessment (PIA)		Assessment of the need for a PIA is pending finalization of ConOps and Operational MOU
System of Record Notice (SORN)		TBD by CBP
Interconnect Security Agreement (ISA)		Assessment of the need for an ISA is pending finalization of ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. FCC to begin work on SDS Data Elements. SDS data elements submitted to FCC April 15, 2009 and is under review
2. FCC HTS crosswalk data (MOU Appendix B) received from FCC May 6, 2009 was completed in August 2009..
3. FCC completed FCC PGA User recertification on August 13, 2009.

Concerns, Risks, and Issues

FCC (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q1 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q2 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Complete
M6: Agree on Concept of Operations with CBP.	Q1 2009	√	Complete–February 2007
M7: Submit completed MOU template to CBP.	Q1 2009	√	FCC provided Draft Data MOU to CBP. FCC is compiling information for the Appendices.
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	Dependent of CBP
M9: Information collections to reduce burden on the public consolidated or modified.	TBD		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	TBD		TBD

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*F*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*F*	Automated determination of cargo admissibility:	*F*
	Validate agency entry data elements in ACE or agency system:	*F*	Support enforcement of agency license, permit, and/or certificate:	*F*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile						
Background	Joined ITDS:	September 2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	2
	SAFE Port Act of 2006 Agency:	No	Department:	Independent	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	N/A	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	2	Number of PGA Unique Requirements:	7
	Number of Business Processes:	4	Number of PGA LPCs:	1	Number of PGA Shared Requirements:	*115*

FDA

Food and Drug Administration

Agency Mission

The U.S. Food and Drug Administration (FDA) is responsible for the protection of the American consumer's public health. The agency regulates foods, drugs (human and veterinary), cosmetics, medical devices, radiation emitting products, and biologics. The FDA examines and clears goods offered entry into the United States under the auspices of the Federal Food, Drug, and Cosmetic Act and the Public Health and Security and Bioterrorism Preparedness and Response Act.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		FDA already has a Data MOU for ACS, therefore a Letter of Exchange is not required at this time.
Data Memo of Understanding (MOU)	TBD	FDA,CBP, OT/R&R – Work in progress. Although FDA submitted their Data MOU to CBP OT/R&R in January 2008, until Appendix A is approved by CBP, approval of the FDA ACE Data MOU is on hold. Target date TBD.
Concept of Operations (CONOPS)	TBD	FDA – CONOPS initially submitted, including meeting with OFO Q3 2007. Suggested revisions made, based on Deep Dive reviews. Anticipate agency approval process to be completed by TBD.
ACE Release Operational MOU	TBD	CBP to determine if FDA requires an Operational MOU. CBP will bring this up with the CBP PGA Integrated Steering Committee. Target Date TBD.
Agency's Privacy Impact Assessment (PIA)	√	FDA: Received PIA confirmation from FDA Feb 2009.
System of Record Notice (SORN)	√	FDA: Received SORN confirmation from FDA Feb 2009.
Interconnect Security Agreement (ISA)	R	CBP-FDA: Current ISA expires April 2009. (ISA's are valid only 3 years). Have brought this to the attention of CBP.

Activity and Accomplishments

- Submitted the To-Be business processes for review and updating.
- PREDICT (Predictive Risk-based Evaluation for Dynamic Import Compliance) System is in the implementation phase (August). Once completed the resources will be involved in the review and updating of the 801(a) To-Be business processes to reflect the implemented PREDICT processes.
- In process of coordinating a review meeting of the Prior Notice To-Be business processes for mid August.

Concerns, Risks, and Issues

Concerns:

1. FDA did not meet artificial target date based on M2.3 2010 deployment of an agency approved CONOPS of March 31, 2009. Revised target date TBD.

FDA (continued)

ITDS e-Government Scorecard

Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q2 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	FDA – initial submission to OFO, Q3 2007. Revised ConOps pending FDA approval. Anticipate agency approval Q2, 2009.
M6: Agree on Concept of Operations with CBP.	Q2 2008	R	FDA-CBP: Anticipated approval with CBP Q3 2009, however this is dependent upon resource availability and scheduling.
M7: Submit completed MOU template to CBP.	Q4 2008	√	FDA submitted initial Data MOU to CBP OT/R&R Jan 2008. Pending approval.
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2009		Document approved by HHS and submitted to ITDS Task force and OMB
M9: Information collections to reduce burden on the public consolidated or modified.	Q4 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q1 2009	√	Per Departmental Implementation Plan for FDA_v1: "No new rule-making anticipated. OMB 300 exists for ITDS"

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	AN	Access activated Foreign Trade Zone information:	AN
	Access importer, broker, and/or carrier account information:	AN	Run ACE reports and/or data extracts:	AN
	Access manifest and/or bond information:	F	Delegation of ACE Security Control Officer responsibilities to agency:	F
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	AN	Request CBP to place/remove holds on cargo and/or conveyances:	F
	Place/remove holds directly on cargo and/or conveyances:	F, L	Refer bill of lading information to CBP and/or other PGAs:	F
Cargo Arrival (Entry)	Entry augmented with agency data elements:	L	Automated determination of cargo admissibility:	F, L
	Validate agency entry data elements in ACE or agency system:	F, L	Support enforcement of agency license, permit, and/or certificate:	N/A
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	N/A	Government entry processing:	?
	Anti-dumping/countervailing duty summary processing:	N/A	Foreign Trade Zone entry processing:	F
Targeting	Establish screening criteria:	L	View risk assessment and screening results:	L

Agency Profile

Background	Joined ITDS:	1996	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	1
	SAFE Port Act of 2006 Agency:	Yes	Department:	Health and Human Services	Number of Active ACE Portal Users:	1
	Import Safety Priority Agency:	Yes	Department Senior Official Identified:	Yes	Number of ATS Users:	35
Business Requirements	PGA Type:	Admissibility	Number of PGA Forms:	0	Number of PGA Unique Requirements:	11
	Number of Business Processes:	4	Number of PGA LPCs:	0	Number of PGA Shared Requirements:	145

FHWA

Federal Highway Administration

Agency Mission

The Federal Highway Administration (FHWA) conducts research on international commodity flows and related freight transportation activities; develops analytical tools, including freight models, to measure the transportation system; and examines the relationship between freight transportation improvements and the U.S. economy.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	Approved by CBP July 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	√	DOT – None - Finalized in May 2008
System of Record Notice (SORN)	√	DOT – None - Finalized in April 2008; published in Federal Register.
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of DOT Operational MOU

Activity and Accomplishments

1. Agency reviewing materials provided by ITDS for preparation of Data MOU.

Concerns, Risks, and Issues

FHWA (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complele the definition of business and syslem requirements for agency systems.	Q3 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q3 2008	√	Submitted
M4: Agree on business and system requirements for ACE with CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	Submitted
M6: Agree on Concept of Operations with CBP.	Q4 2008	√	Agreement reached
M7: Submit completed MOU template to CBP.	Q1 2009	√	Submitted
M8: Sign the appropriate MOU with CBP and other agencies.	Q2 2009	R	CBP OT/R&R – Review/Approval - TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q2 2010		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2009		

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile						
Background	Joined ITDS:	2007	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	0
	SAFE Port Act of 2006 Agency:	No	Department:	Transportation	Number of Active ACE Portal Users:	0
	Import Safety Priority Agency:	No	Department Senior Official Identified:	Yes	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Statistical	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*TBD*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

FinCEN

Financial Crimes Enforcement Network

ITDS
INTERNATIONAL TRADE DATA SYSTEM

Agency Mission

The U.S. Department of Treasury Financial Crimes and Enforcement Network's mission is to enhance U.S. national security, deter and detect criminal activity, and safeguard financial systems from abuse by promoting transparency in the U.S. and international financial systems.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)		FinCEN – Complete LOE request and deliver to CBP – TBD
Data Memo of Understanding (MOU)		TBD
Concept of Operations (CONOPS)		TBD
ACE Release Operational MOU		TBD
Agency's Privacy Impact Assessment (PIA)		TBD
System of Record Notice (SORN)		TBD
Interconnect Security Agreement (ISA)		TBD

Activity and Accomplishments

Activities:

1. FinCEN is seeking feedback from prior CBP detailees to gather their impression of the ACE portal database and will determine if FinCEN is interested in gaining access to ACE. While they have not completed their functional assessment, they believe they would be purely a data consumer

Concerns, Risks, and Issues

FinCEN (continued)

ITDS e-Government Scorecard

Milestone		Date	?	Responsible Party — Next Action — Target Date
M1:	Dates for assessment of performance measures described in plan.	*TBD*		Milestone is dependent on pending revisions to the ACE Program Plan.
M2:	Complete the definition of business and system requirements for agency systems.	*TBD*		Milestone is dependent on pending revisions to the ACE Program Plan.
M3:	Submit business and system requirements for ACE to CBP.	*TBD*		Milestone is dependent on pending revisions to the ACE Program Plan.
M4:	Agree on business and system requirements for ACE with CBP.	*TBD*		Milestone is dependent on pending revisions to the ACE Program Plan.
M5:	Submit Concept of Operations to CBP.	*TBD*		
M6:	Agree on Concept of Operations with CBP.	*TBD*		
M7:	Submit completed MOU template to CBP.	*TBD*		
M8:	Sign the appropriate MOU with CBP and other agencies.	*TBD*		
M9:	Information collections to reduce burden on the public consolidated or modified.	*TBD*		Milestone is dependent on pending revisions to the ACE Program Plan.
M10:	Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	*TBD*		

Operational Capability

A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability

		?		?
ACE Secure Data Portal	Access entry and entry summary information:	*AN*	Access activated Foreign Trade Zone information:	*F*
	Access importer, broker, and/or carrier account information:	*AN*	Run ACE reports and/or data extracts:	*AN*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*N/A*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*TBD*	View risk assessment and screening results:	*TBD*

Agency Profile

Background	Joined ITDS:	October 2008	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	*TBD*
	SAFE Port Act of 2006 Agency:	*No*	Department:	Treasury	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	*No*	Department Senior Official Identified:	Yes	Number of ATS Users:	*TBD*
Business Requirements	PGA Type:	*Statistical*	Number of PGA Forms:	*TBD*	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	*TBD*	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*

FMC

Federal Maritime Commission

Agency Mission

The Federal Maritime Commission (FMC) registers and issues licenses to Ocean Transportation Intermediaries (aka freight forwarders and non-vessel operating common carriers) and issues certificates of financial responsibility to Passenger Vessel Operators (PVOs). FMC also publishes quarterly and annual reports on current maritime trading conditions based upon information from CBP, Census, MARAD, USACE, and other sources; these reports are used for monitoring purposes only.

Legal, Operational, and Security

Agreement	?	Responsible Party — Next Action — Target Date
Letter of Exchange (LOE)	√	Approved–CBP legacy system (ACS/AMS) MOU signed in 1995
Data Memo of Understanding (MOU)	Y	CBP OT/R&R – Review/Approve - TBD
Concept of Operations (CONOPS)	√	CBP – Approve FMC ConOps v6.0 – 31 August 2009
ACE Release Operational MOU		
Agency's Privacy Impact Assessment (PIA)	Y	FMC – Determine need for PIA – 31 August 2009
System of Record Notice (SORN)	Y	FMC – Determine need for SORN – 31 August 2009
Interconnect Security Agreement (ISA)	Y	Assessment of need for an ISA is pending finalization of FMC's ConOps and Operational MOU

Activity and Accomplishments

Activities:

1. FMC has provided ConOps v6.0 for CBP approval and signature. This version incorporates revised legal citations requested by CBP. (30 March 2009)
2. Agency reviewing materials provided by ITDS for preparation of Data MOU.
3. FMC - CBP meeting held 7/9 to resolve remaining ConOps issues. Agreement reached during meeting; CBP will edit ConOps and return to FMC for approval.

Concerns, Risks, and Issues

FMC (continued)

ITDS e-Government Scorecard Milestone	Date	?	Responsible Party — Next Action — Target Date
M1: Dates for assessment of performance measures described in plan.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M2: Complete the definition of business and system requirements for agency systems.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M3: Submit business and system requirements for ACE to CBP.	Q4 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M4: Agree on business and system requirements for ACE with CBP.	Q4 2009		Milestone is dependent on pending revisions to the ACE Program Plan.
M5: Submit Concept of Operations to CBP.	Q1 2008	√	CBP – Approve FMC ConOps v6.0 – 31 July 2009
M6: Agree on Concept of Operations with CBP.	Q4 2008	√	CBP – Approve FMC ConOps v6.0 – 31 August 2009
M7: Submit completed MOU template to CBP.	Q4 2008	√	CBP OT/R&R - Review - TBD
M8: Sign the appropriate MOU with CBP and other agencies.	Q4 2009		FMC – Sign MOU when CBP approves - TBD
M9: Information collections to reduce burden on the public consolidated or modified.	Q1 2008		Milestone is dependent on pending revisions to the ACE Program Plan.
M10: Agency rulemaking, capital planning, and investment control processes integrated with agency's plan to fully utilize ITDS by 2009.	Q4 2008	R	

Operational Capability		?	*A: Capability Available in ACE and Used by Agency; AN: Capability Available in ACE, but Not Currently Used by Agency; F: Future ACE Capability; L: Legacy Capability; N/A: Capability Not Applicable to Agency ACE Capability*	?
ACE Secure Data Portal	Access entry and entry summary information:	*A*	Access activated Foreign Trade Zone information:	*N/A*
	Access importer, broker, and/or carrier account information:	*A*	Run ACE reports and/or data extracts:	*A*
	Access manifest and/or bond information:	*F*	Delegation of ACE Security Control Officer responsibilities to agency:	*F*
Pre-Arrival of Cargo (CBP Manifest)	Manifest augmented with agency data elements:	*N/A*	Request CBP to place/remove holds on cargo and/or conveyances:	*N/A*
	Place/remove holds directly on cargo and/or conveyances:	*N/A*	Refer bill of lading information to CBP and/or other PGAs:	*F*
Cargo Arrival (Entry)	Entry augmented with agency data elements:	*N/A*	Automated determination of cargo admissibility:	*N/A*
	Validate agency entry data elements in ACE or agency system:	*N/A*	Support enforcement of agency license, permit, and/or certificate:	*N/A*
Post Arrival of Cargo (Entry Summary)	Validate entry summary data for statistical reporting:	*N/A*	Government entry processing:	*N/A*
	Anti-dumping/countervailing duty summary processing:	*N/A*	Foreign Trade Zone entry processing:	*N/A*
Targeting	Establish screening criteria:	*N/A*	View risk assessment and screening results:	*N/A*

Agency Profile						
Background	Joined ITDS:	2004	Agency Senior Official Identified:	Yes	Number of Registered ACE Portal Users:	13
	SAFE Port Act of 2006 Agency:	No	Department:	Independent	Number of Active ACE Portal Users:	*TBD*
	Import Safety Priority Agency:	No	Department Senior Official Identified:	N/A	Number of ATS Users:	N/A
Business Requirements	PGA Type:	Enforcement	Number of PGA Forms:	11	Number of PGA Unique Requirements:	*TBD*
	Number of Business Processes:	6	Number of PGA LPCs:	*TBD*	Number of PGA Shared Requirements:	*TBD*